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## Forwarding and Control Element Separation (ForCES) Implementation Experience

### Abstract

The Forwarding and Control Element Separation (ForCES) protocol defines a standard communication and control mechanism through which a Control Element (CE) can control the behavior of a Forwarding Element (FE). This document captures the experience of implementing the ForCES protocol and model. Its aim is to help others by providing examples and possible strategies for implementing the ForCES protocol.

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## 1. Introduction

Forwarding and Control Element Separation (ForCES) defines an architectural framework and associated protocols to standardize information exchange between the control plane and the forwarding plane in a ForCES Network Element (ForCES NE). [RFC3654] defines the ForCES requirements, and [RFC3746] defines the ForCES framework.

The ForCES protocol works in a master-slave mode in which Forwarding Elements (FEs) are slaves and Control Elements (CEs) are masters. The protocol includes commands for transport of Logical Functional Block (LFB) configuration information, association setup, status, and event notifications, etc. The reader is encouraged to read the Forwarding and Control Element Separation Protocol [RFC5810] for further information.

[RFC5812] presents a formal way to define FE LFBs using XML. LFB configuration components, capabilities, and associated events are defined when LFBs are formally created. The LFBs within the Forwarding Element (FE) are accordingly controlled in a standardized way by the ForCES protocol.

The Transport Mapping Layer (TML) transports the protocol messages. The TML is where the issues of how to achieve transport-level reliability, congestion control, multicast, ordering, etc., are handled. It is expected that more than one TML will be standardized. The various possible TMLs could vary their implementations based on the capabilities of underlying media and transport. However, since each TML is standardized, interoperability is guaranteed as long as both endpoints support the same TML. All ForCES protocol layer implementations must be portable across all TMLs. Although more than one TML may be standardized for the ForCES protocol, all ForCES implementations must implement the Stream Control Transmission Protocol (SCTP) TML [RFC5811].

The Forwarding and Control Element Separation Applicability Statement [RFC6041] captures the applicable areas in which ForCES can be used.

### 1.1. Document Goal

This document captures the experience of implementing the ForCES protocol and model, and its main goal is to provide alternatives, ideas, and proposals as how it can be implemented, not to tell others how to implement it.

Also, this document mentions possible problems and potential choices that can be made, in an attempt to help implementors develop their own products.

Additionally, this document assumes that the reader has become familiar with the three main ForCES RFCs: the Forwarding and Control Element Separation Protocol [RFC5810], the Forwarding and Control Element Separation Forwarding Element Model [RFC5812], and the SCTP-Based Transport Mapping Layer (TML) for the Forwarding and Control Element Separation Protocol [RFC5811].

## 2. Terminology and Conventions

The terminology used in this document is the same as in the Forwarding and Control Element Separation Protocol [RFC5810]; some of the definitions below are copied from that document.

**Control Element (CE):** A logical entity that implements the ForCES protocol and uses it to instruct one or more FEs on how to process packets. CEs handle functionality such as the execution of control and signaling protocols.

**Forwarding Element (FE):** A logical entity that implements the ForCES protocol. FEs use the underlying hardware to provide per-packet processing and handling as directed/controlled by one or more CEs via the ForCES protocol.

**LFB (Logical Functional Block):** The basic building block that is operated on by the ForCES protocol. The LFB is a well-defined, logically separable functional block that resides in an FE and is controlled by the CE via the ForCES protocol. The LFB may reside at the FE's data path and process packets or may be purely an FE control or configuration entity that is operated on by the CE. Note that the LFB is a functionally accurate abstraction of the FE's processing capabilities but not a hardware-accurate representation of the FE implementation.

**LFB Class and LFB Instance:** LFBs are categorized by LFB classes. An LFB instance represents an LFB class (or type) existence. There may be multiple instances of the same LFB class (or type) in an FE. An LFB class is represented by an LFB class ID, and an LFB instance is represented by an LFB instance ID. As a result, an LFB class ID associated with an LFB instance ID uniquely specifies an LFB existence.

**LFB Component:** Operational parameters of the LFBs that must be visible to the CEs are conceptualized in the FE model as the LFB components. The LFB components include, for example, flags, single parameter arguments, complex arguments, and tables that the CE can read and/or write via the ForCES protocol.

**ForCES Protocol:** While there may be multiple protocols used within the overall ForCES architecture, the terms "ForCES protocol" and "protocol" refer to the Fp reference points in the ForCES framework [RFC3746]. This protocol does not apply to CE-to-CE communication, FE-to-FE communication, or communication between FE and CE Managers. Basically, the ForCES protocol works in a master-slave mode in which FEs are slaves and CEs are masters. This document defines the specifications for this ForCES protocol.

### 3. ForCES Architecture

ForCES has undergone two successful interoperability tests, where very few issues were caught and resolved.

This section discusses the ForCES architecture, implementation challenges, and ways to overcome these challenges.

### 3.1. Pre-Association Setup - Initial Configuration

The initial configuration of the FE and the CE is done by the FE Manager and the CE Manager, respectively. These entities have not as yet been standardized.

The simplest solution is static configuration files, which play the role of the Managers and are read by FEs and CEs.

For more dynamic solutions, however, it is expected that the Managers will be entities that will talk to each other and exchange details regarding the associations. Any developer can create any Manager, but they should at least be able to exchange the details below.

From the FE Manager side:

1. FE Identifiers (FEIDs).
2. FE IP addresses, if the FEs and CEs will be communicating via network.
3. TML. The TML that will be used. If this is omitted, then SCTP must be chosen as default.
4. TML priority ports. If this is omitted as well, then the CE must use the default values from the respective TML RFC.

From the CE Manager side:

1. CE Identifiers (CEIDs).
2. CE IP addresses, if the FEs and CEs will be communicating via network.
3. TML. The TML that will be used. If this is omitted, then SCTP must be chosen as default.
4. TML priority ports. If this is omitted as well, then the FE must use the default values from the respective TML RFC.

### 3.2. TML

All ForCES implementations must support the SCTP TML. Even if another TML will be chosen by the developer, SCTP is mandatory and must be supported.

There are several issues that should concern a developer for the TML:

1. Security. TML must be secure according to the respective RFC. For SCTP, you have to use IPsec.
2. Remote connection. While ForCES is meant to be used locally, both interoperability tests have proven that ForCES can be deployed everywhere that SCTP/IP is available. In both interoperability tests, there were connections between Greece and China, and the performance was very satisfactory. However, in order for the FE and CE to work in a non-local environment, an implementor must ensure that the SCTP-TML ports are forwarded to the CE and/or FE if they are behind NATs; if there is a firewall, it will allow the SCTP ports through. These were identified during the first ForCES interoperability test and documented in the Implementation Report for Forwarding and Control Element Separation [RFC6053].

### 3.3. Model

The ForCES model is inherently very dynamic. Using the basic atomic data types that are specified in the model, new atomic (single valued) and/or compound (structures and arrays) datatypes can be built. Thus, developers are free to create their own LFBs. One other advantage that the ForCES model provides is inheritance. New versions of existing LFBs can be created to suit any extra developer requirements.

The difficulty for a developer is to create an architecture that is completely scalable so there is no need to write the same code for new LFBs, new components, etc. Developers can just create code for the defined atomic values, and new components can then be built based on already written code, thus reusing it.

The model itself provides the key, which is inheritance.

#### 3.3.1. Components

First, a basic component needs to be created as the mother of all the components that has the basic parameters of all the components:

- o The ID of the component.
- o The access rights of the component.
- o If it is an optional component.
- o If it is of variable size.

- o Minimum data size.
- o Maximum data size.

If the data size of the component is not variable, then the size is either the minimum or the maximum size, as both should have the same value.

Next, some basic functions are in order:

- o A common constructor.
- o A common destructor.
- o Retrieve Component ID.
- o Retrieve access right property.
- o Query if it is an optional component.
- o Get Full Data.
- o Set Full Data.
- o Get Sparse Data.
- o Set Sparse Data.
- o Del Full Data.
- o Del Sparse Data.
- o Get Property.
- o Set Property.
- o Get Value.
- o Set Value.
- o Del Value.
- o Get Data.
- o Clone component.

The Get/Set/Del Full Data, Get/Set/Del Sparse Data, and Get/Set Property functions handle the respective ForCES commands and return the respective TLV, for example, Set Full Data should return a RESULT-TLV. The Get Value, Set Value, and Del Value functions are called from Get Full/Sparse Data, Set Full/Sparse Data, and Del Full/Sparse Data respectively and provide the interface to the actual values in the hardware, separating the forces handling logic from the interface to the actual values.

The Get Data function should return the value of the data only, not in TLV format.

The Clone function seems out of place. This function must return a new component that has the exact same values and attributes. This function is useful in array components as described further below.

The only requirement is to implement the base atomic data types. Any new atomic datatype can be built as a child of a base data type, which will inherit all the functions and, if necessary, override them.

The struct component can then be built. A struct component is a component by itself but consists of a number of atomic components. These atomic components create a static array within the struct. The ID of each atomic component is the array's index. For a struct component, the Clone function must create and return an exact copy of the struct component with the same static array.

The most difficult component to be built is the array. The difficulty lies in the actual benefit of the model: you have absolute freedom over what you build. An array is an array of components. In all rows, you have the exact same type of component, either a single component or a struct. The struct can have multiple single components or a combination of single components, structs, arrays, and so on. So, the difficulty lies in how to create a new row, a new component by itself. This is where the Clone function is very useful. For the array, a mother component that can spawn new components exactly like itself is needed. Once a Set command is received, the mother component can spawn a new component if the targeted row does not exist and add it into the array; with the Set Full Data function, the value is set in the recently spawned component, as the spawned component knows how the data is created. In order to distinguish these spawned components from each other and their functionality, some kind of index is required that will also reflect how the actual data of the specific component is stored on the hardware.

Once the basic constructors of all possible components are created, then a developer only has to create LFB components or datatypes as a child of one of the already-created components, and the only thing the developer really needs to add is the three functions of Get Value, Set Value, and Del Value of each component, which is platform dependent. The rest stays the same.

### 3.3.2. LFBs

The same architecture in the components can be used for the LFBs, allowing a developer to write LFB handling code only once. The parent LFB has some basic attributes:

- o The LFB Class ID.
- o The LFB Instance ID.
- o An Array of Components.
- o An Array of Capabilities.
- o An Array of Events.

Following are some common functions:

- o Handle Configuration Command.
- o Handle Query Command.
- o Get Class ID.
- o Get Instance ID.

Once these are created, each LFB can inherit all these from the parent, and the only thing it has to do is add the components that have already been created.

An example can be seen in Figure 1. The following code creates a part of FEProtocolLFB:

```
//FEID
cui = new Component_uInt(FEPO_FEID, ACCESS_READ_ONLY, FE_id);
Components[cui->get_ComponentId()]=cui; //Add component to array list

//Current FEHB Policy Value
cub = new Component_uByte(FEPO_FEHBPolicy, ACCESS_READ_WRITE, 0);
Components[cub->get_ComponentId()]=cub; //Add component to array list

//FEIDs for BackupCES Array
cui = new Component_uInt(0, ACCESS_READ_WRITE, 0);
ca = new Component_Array(FEPO_BackupCES, ACCESS_READ_WRITE);
ca->AddRow(cui, 1);
ca->AddMotherComponent(cui);
Components[ca->get_ComponentId()]=ca; //Add component to array list
```

Figure 1: Example Code for Creating Part of FEProtocolLFB

The same concept can be applied to handling LFBs as one FE. An FE is a collection of LFBs. Thus, all LFBs can be stored in an array based on the LFB's class id, version, and instance. Then, what is required is an LFBHandler that will handle the array of LFBs. A specific LFB, for example, can be addressed using the following scheme:

LFBs[ClassID][Version][InstanceID]

Note: While an array can be used in components, capabilities, and events, a hash table or a similar concept is better suited for storing LFBs using the component ID as the hash key with linked lists for collision handling, as the created array can have large gaps if the values of LFB Class ID vary greatly.

### 3.4. Protocol

#### 3.4.1. TLVs

The goal for protocol handling is to create a general and scalable architecture that handles all protocol messages instead of something implementation specific. There are certain difficulties that have to be overcome first.

Since the model allows a developer to define any LFB required, the protocol has been thus created to give the user the freedom to configure and query any component, whatever the underlying model. While this is a strong point for the protocol itself, one difficulty lies with the unknown underlying model and the unlimited number of types of messages that can be created, making creating generic code a daunting task.

Additionally, the protocol also allows two different path approaches to LFB components, and the CE or FE must handle both or even a mix of them, making a generic decoding of the protocol message difficult.

Another difficulty also arises from the batching capabilities of the protocol. You can have multiple Operations within a message; you can select more than one LFB to command and more than one component to manipulate.

A possible solution is again provided by inheritance. There are two basic components in a protocol message:

1. The common header.
2. The rest of the message.

The rest of the message is divided in Type-Length-Value (TLV) units and, in one case, Index-Length-Value (ILV) units.

The TLV hierarchy can be seen in Figure 2:

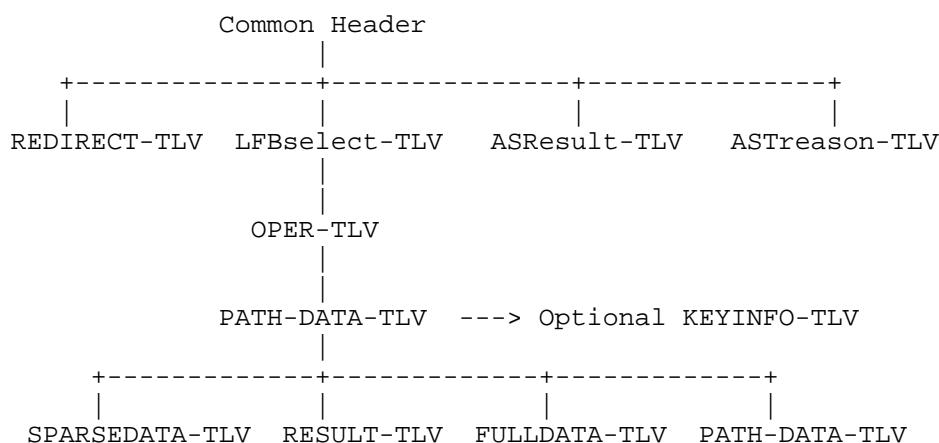


Figure 2: ForCES TLV Hierarchy

The above figure shows only the basic hierarchical level of TLVs and does not show batching. Also, this figure does not show the recursion that can occur at the last level of the hierarchy. The figure shows one kind of recursion with a PATH-DATA-TLV within a PATH-DATA-TLV. A FULLDATA-TLV can be within a FULLDATA-TLV and a SPARSEDATA-TLV. The possible combination of TLVs are described in detail in the Forwarding and Control Element Separation Protocol [RFC5810] as well as the data-packing rules.

A TLV's main attributes are:

- o Type.
- o Length.
- o Data.
- o An array of TLVs.

The array of TLVs is the next hierarchical level of TLVs nested in this TLV.

A TLV's common function could be:

- o A basic constructor.
- o A constructor using data from the wire.
- o Add a new TLV for next level.
- o Get the next TLV of next level.
- o Get a specific TLV of next level.
- o Replace a TLV of next level.
- o Get the Data.
- o Get the Length.
- o Set the Data.
- o Set the Length.
- o Set the Type.
- o Serialize the header.
- o Serialize the TLV to be written on the wire.

All TLVs inherit these functions and attributes and either override them or create new where it is required.

### 3.4.2. Message Deserialization

Following is an algorithm for deserializing any protocol message:

1. Get the message header.
2. Read the length.
3. Check the message type to understand what kind of message this is.
4. If the length is larger than the message header, then there is data for this message.
5. A check can be made here regarding the message type and the length of the message.

If the message is a Query or Config type, then there are LFBselect-TLVs for this level:

1. Read the next 2 shorts(type-length). If the type is an LFBselect-TLV, then the message is valid.
2. Read the necessary length for this LFBselect-TLV, and create the LFBselect-TLV from the data of the wire.
3. Add this LFBselect-TLV to the main header array of LFBselect-TLVs.
4. Repeat all above steps until the rest of the message has finished.

The next level of TLVs is OPER-TLVs.

1. Read the next 2 shorts(type-length). If the type is an OPER-TLV, then the message is valid.
2. Read the necessary length for this OPER-TLV, and create the OPER-TLV from the data of the wire.
3. Add this OPER-TLV to the LFBselect-TLV array of TLVs.
4. Do this until the rest of the LFBselect-TLV has finished.

The next level of TLVs is PATH-DATA-TLVs.

1. Read the next 2 shorts(type-length). If the type is a PATH-DATA-TLV, then the message is valid.

2. Read the necessary length for this PATH-DATA-TLV, and create the PATH-DATA-TLV from the data of the wire.
3. Add this PATH-DATA-TLV to the OPER-TLV's array of TLVs.
4. Do this until the rest of the OPER-TLV is finished.

Here it gets interesting, as the next level of PATH-DATA-TLVs can be one of the following:

- o PATH-DATA-TLVs.
- o FULLDATA-TLV.
- o SPARSEDATA-TLV.
- o RESULT-TLV.

The solution to this difficulty is recursion. If the next TLV is a PATH-DATA-TLV, then the PATH-DATA-TLV that is created uses the same kind of deserialization until it reaches a FULLDATA-TLV or SPARSEDATA-TLV. There can be only one FULLDATA-TLV or SPARSEDATA-TLV within a PATH-DATA-TLV.

1. Read the next 2 shorts(type-length).
2. If the Type is a PATH-DATA-TLV, then repeat the previous algorithm but add the PATH-DATA-TLV to this PATH-DATA-TLV's array of TLVs.
3. Do this until the rest of the PATH-DATA-TLV is finished.
4. If the Type is a FULLDATA-TLV, then create the FULLDATA-TLV from the message and add this to the PATH-DATA-TLV's array of TLVs.
5. If the Type is a SPARSEDATA-TLV, then create the SPARSEDATA-TLV from the message and add this to the PATH-DATA-TLV's array of TLVs.
6. If the Type is a RESULT-TLV, then create the RESULT-TLV from the message and add this to the PATH-DATA-TLV's array of TLVs.

If the message is a Query, it must not have any kind of data inside the PATH-DATA-TLV.

If the message is a Query Response, then it must have either a RESULT-TLV or a FULLDATA-TLV.

If the message is a Config, it must contain either a FULLDATA-TLV or a SPARSEDATA-TLV.

If the message is a Config Response, it must contain a RESULT-TLV.

More details regarding message validation can be read in Section 7 of the Forwarding and Control Element Separation Protocol [RFC5810].

Note: When deserializing, implementors must take care to ignore padding of TLVs as all must be 32-bit aligned. The length value in TLVs includes the Type and Length (4 bytes) but does not include padding.

### 3.4.3. Message Serialization

The same concept can be applied in the message creation process. Having the TLVs ready, a developer can go bottom up. All that is required is the serialization function that will transform the TLV into bytes ready to be transferred on the network.

For example, for the creation of a simple query from the CE to the FE, all the PATH-DATA-TLVs are created. Then they will be serialized and inserted into an OPER-TLV, which in turn will be serialized and inserted into an LFBselect-TLV. The LFBselect-TLV will then be serialized and entered into the Common Header, which will be passed to the TML to be transported to the FE.

Having an array of TLVs inside a TLV that is next in the TLV hierarchy allows the developer to insert any number of next-level TLVs, thus creating any kind of message.

Note: When the TLV is serialized to be written on the wire, implementors must take care to include padding to TLVs as all must be 32-bit aligned.

## 4. Development Platforms

Any development platform that can support the SCTP TML and the TML of the developer's choosing is available for use.

Figure 3 provides an initial survey of SCTP support for C/C++ and Java at the present time.

Platform Language	Windows	Linux	Solaris
C/C++	Supported	Supported	Supported
Java	Limited Third Party Not from SUN	Supported	Supported

Figure 3: SCTP Support on Operating Systems

A developer should be aware of some limitations regarding Java implementations.

Java inherently does not support unsigned types. A workaround can be found in the creation of classes that do the translation of unsigned types to Java types. The problem is that the unsigned long cannot be used as-is in the Java platform. The proposed set of classes can be found in [JavaUnsignedTypes].

## 5. Acknowledgements

The authors would like to thank Adrian Farrel for sponsoring this document and Jamal Hadi Salim for discussions that made this document better.

## 6. Security Considerations

Developers of ForCES FEs and CEs must take the Security Considerations of the Forwarding and Control Element Separation Framework [RFC3746] and the Forwarding and Control Element Separation Protocol [RFC5810] into account.

Also, as specified in the Security Considerations section of the SCTP-Based Transport Mapping Layer (TML) for the Forwarding and Control Element Separation Protocol [RFC5811], transport-level security has to be ensured by IPsec.

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