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Latency Guarantee with Stateless Fair Queuing  
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Abstract

This document specifies the framework and the operational procedure for deterministic networking with a set of rate based work conserving packet schedulers. The framework guarantees end-to-end (E2E) latency bounds to flows. The schedulers in core nodes do not need to maintain flow states. Instead, the entrance node of a flow marks an ideal service completion time according to a fluid model, called Finish Time (FT), of a packet in the packet header. The subsequent core nodes update FT by adding delay factors, which are functions of the flow and the nodes. The packets in the queue of the scheduler are served in the ascending order of FT. This mechanism is called the stateless fair queuing. The result is that flows are isolated from each other almost perfectly. The latency bound of a flow depends only on the flow's intrinsic parameters such as the maximum burst size and the service rate, except the link capacities and the maximum packet length among other flows sharing each output link with the flow.

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## Table of Contents

1. Introduction . . . . .	3
2. Terminology . . . . .	4
2.1. Terms Used in This Document . . . . .	4
2.2. Abbreviations . . . . .	4
3. Conventions Used in This Document . . . . .	4
4. Fair Queuing Schedulers . . . . .	4
5. Assumptions . . . . .	6
6. Work Conserving Stateless Core Fair Queuing (C-SCORE) . . . . .	7
6.1. Framework . . . . .	7
6.2. E2E Latency Bound . . . . .	9
6.3. Operational Procedure . . . . .	10
6.3.1. Metadata . . . . .	10
6.3.2. Network Configuration for latency guarantee . . . . .	10
6.3.3. Role of entrance node for generation and update of FT . . . . .	11
6.3.4. Role of core node for update of FT . . . . .	11
6.3.5. Mitigation of complexity in entrance node . . . . .	11
6.3.6. Compensation of time difference between nodes . . . . .	12
6.4. Characteristics . . . . .	12
6.4.1. Taxonomy . . . . .	13
6.4.2. Strengths . . . . .	13
7. IANA Considerations . . . . .	14
8. Security Considerations . . . . .	14
9. Acknowledgements . . . . .	14
10. Contributor . . . . .	14
11. References . . . . .	14
11.1. Normative References . . . . .	14
11.2. Informative References . . . . .	14
Authors' Addresses . . . . .	15

## 1. Introduction

There are emerging applications that require both latency and jitter bounds in large-scale networks. One of the key mechanisms to the latency and jitter performance of a network is the packet scheduling in the data plane. Our objective is to specify a scheduling mechanism that can completely isolate a flow from other flows that are sharing a link. An ideal flow isolation would be achieved, if an imaginary link is dedicated solely to the flow across the network, with the capacity equal to the allocated service rate to the flow. In this case the latency upper bound is a function of the flow's parameters only, including the maximum burst size, maximum packet length, and the service rate.

In large-scale networks, end nodes can join and leave, and a large number of flows are dynamically generated and terminated. Achieving satisfactory deterministic performance in such environments would be challenging. The current Internet, which has adopted the differentiated services (DiffServ) architecture, has the problem of the burst accumulation and the cyclic dependency, which is mainly due to FIFO queuing and strict priority scheduling. Cyclic dependency is defined as a situation wherein the graph of interference between flow paths has cycles [THOMAS]. The existence of such cyclic dependencies makes the proof of determinism a much more challenging issue and can lead to system instability, that is, unbounded delays [ANDREWS][BOUILLARD].

A class of schedulers called Fair Queuing (FQ) limits interference between flows to the degree of maximum packet size. Packetized generalized processor sharing (PGPS) and weighted fair queuing (WFQ) are representative examples of FQ [PAREKH]. In FQ, the ideal service completion time, called Finish Time (FT), of a packet is obtained from an imaginary system which can provide the ideal flow isolation. Packets in the buffer are served in an increasing order of the FT. When this mechanism is applied, the end-to-end (E2E) latency bound of a flow is similar to that in the ideally isolated system. However, the FT of the previous packet within a flow has to be remembered for the calculation of the current packet's FT. This information can be seen as the flow state. The complexity of managing such information for a large number of flows can be a burden, so the FQ has not been usually adopted in practice.

This document specifies a framework for realizing the FQ scheduler in core nodes, without maintaining flow state. It also specifies the operational procedure based on this framework. The edge node through which a flow enters a network is called the entrance node. The entrance node for a flow generates FT for a packet and records it in the packet. A core node, based on these records, updates FT by

adding a delay factor that is a function of parameters of the node and the flow. The proposed framework is called work conserving stateless core fair queuing (C-SCORE) [C-SCORE]. C-SCORE is work conserving and has the property that, for a certain choice of the delay factor, the expression for E2E latency bound can be found. This E2E latency bound function is the same as that of a network with stateful FQ schedulers in all the nodes.

The key component of C-SCORE is the packet state that is carried as metadata. C-SCORE does not need to maintain flow states at core nodes, yet it works as one of the FQ schedulers, which is known to provide the best flow isolation performance. The metadata to be carried in the packet header is simple and can be updated during the stay in the queue or before joining the queue.

## 2. Terminology

### 2.1. Terms Used in This Document

### 2.2. Abbreviations

## 3. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

## 4. Fair Queuing Schedulers

Generalized processor sharing (GPS) suggested a paradigm for a fair service for flows as fluid. Packetized GPS (PGPS), which implemented GPS in the realistic packet-based environment, played a pioneering role in this type of packet-based schedulers [PAREKH]. PGPS determines the service order of packets in ascending order of the FT derived by the following equation.

$$F(p) = \max\{F(p-1), V(A(p))\} + L(p)/r, \quad (1)$$

where  $p$  and  $p-1$  are the  $p$ th and  $(p-1)$ th packets of a flow,  $F(p)$  is the FT,  $A(p)$  is the arrival time,  $L(p)$  is the length of the packet  $p$ , and  $r$  is the service rate allocated to the flow. Note that the index for the flow  $i$  is omitted.  $V(t)$  is called the virtual time function [PAREKH]. and is a value representing the current system progress at time  $t$ . If the backlogged flows almost fill the link capacity, then

the system slowly progresses in terms of a flow's view, and the virtual time increases slowly. If there is only a handful of backlogged flows, then the virtual time increases with a higher rate. This behavior of the virtual time function prevents an unfair situation in which flows that entered late have relatively small FTs thus receive services earlier for a considerable duration of time, compared to existing flows.

$F(p)$  represents the time that an ideal fluid system would complete its service of packet  $p$ . In a real packetized FQ system, the packets are served in the increasing order of the FT. The FT can be calculated at the moment the packet arrives in the node, and the value of FT is attached to the packet before the packet is stored in the buffer. In general, there is a queue for each flow, the queues are managed by FIFO manner, and the scheduler serves the queue having the HoQ packet with the smallest FT. Alternatively, it is possible to put all the packets in one queue and sort them, as packets are enqueued or dequeued, according to the value of the FT. This implementation requires a priority queue. The point of (1) is that, in the worst case, when all the flows are active and the link is fully used, a flow is served at an interval of  $L(p)/r$ . At the same time, by using the work conserving scheduler, any excessive link resources are shared among the flows. How fairly it is shared is the main difference between various FQ schedulers.

In order to obtain  $F(p)$  in (1), the FT of the previous packet of the flow,  $F(p-1)$ , must be remembered. When a packet is received, it is necessary to find out which flow it belongs to and find out the FT of the latest packet of the corresponding flow.  $F(p-1)$  of this latest packet is a value representative of the 'flow state'. The fact that such state information must be memorized and read means a considerable complexity at a core node managing millions of flows. This is the main reason why such FQ schedulers are not actually used on the Internet. In this document, we pay attention to the fact that the fair service time interval information between packets is already included in the FTs of the entrance node of a flow. Instead of deriving a new FT at each core node, we will specify a method of deriving the FT at downstream nodes by using the initial FT calculated at the entrance node.

On the other hand, calculating  $V(t)$  also involves the complexity of calculating the sum of  $r$  by tracking the flows currently being serviced in real time. It is a complex calculation. Therefore, instead of calculating  $V(t)$  accurately, methods for estimating it in a simple way have been suggested. Among them, Virtual Clock (VC) [ZHANG] uses the current time  $t$  instead of  $V(t)$  to determine the FT. Self-clocked fair queuing [GOLESTANI] uses the FT of the recently serviced packet of another flow instead of  $V(t)$ . The document adopts the VC's approach.

Stiliadis showed that this series of FQ schedulers can belong to the Packetized Rate Proportional Servers (PRPS) [STILIADIS-RPS]. For example, PGPS and VC are PRPS, while self-clocked fair queuing is not. It was proved that a network with all the nodes having one of these PRPSs guarantee the E2E latency for flow. Moreover, any PRPS scheduler yields the same E2E latency bound [STILIADIS-RPS].

## 5. Assumptions

In this document, we assume there are only two classes of traffic. The high priority traffic requires guarantee on latency upper bounds. All the other traffic is considered to be the low priority traffic, and be completely preempted by the high priority traffic. High priority traffic is our only concern.

All the flows conform to their traffic specification (TSpec) parameters. In other words, with the maximum burst size  $B_i$  and the arrival rate  $a_i$ , the accumulated arrival from flow  $i$  in any arbitrary time interval  $[t_1, t_2]$ ,  $t_1 < t_2$ , does not exceed  $B_i + (t_2 - t_1)a_i$ . An actual allocated service rate to a flow,  $r_i$ , can be larger than or equal to the arrival rate of the flow. As it will be shown in (5) that, by adjusting the service rate to a flow, the E2E latency bound of the flow can be adjusted. Note that  $r_i$  is used interchangeably with the symbol  $r$  to denote the service rate of a flow. Total allocated service rate to all the flows in a node does not exceed the link capacity of the node. These assumptions make the resource reservation and the admission control mandatory.

A node, or equivalently a server, means an output port module of a switching device.

The entrance node for a flow is the node located at the edge of a network, from which the flow enters into the network. A core node for a flow is a node in the network, which is traversed by the flow and is not the entrance node. Note that a single node can be both an entrance node to a flow and a core node for another flow.

A packet is considered arrived or serviced; when its last bit has arrived or left the node, respectively.

Propagation delays are neglected for the simplicity of representation. However, it can be easily incorporated into the equations presented in this document, if necessary. This issue will be covered in Section 6.3.6.

## 6. Work Conserving Stateless Core Fair Queuing (C-SCORE)

### 6.1. Framework

FQ schedulers utilize the concept of FT that is used as the service order assigned to a packet. The packet with the minimum FT in a buffer is served first.

As an example, the VC scheduler [ZHANG] defines the FT to be

$$F(p) = \max\{F(p-1), A(p)\} + L(p)/r, \quad (2)$$

where  $(p-1)$  and  $p$  are consecutive packets of the flow under observation,  $A(p)$  is the arrival time of  $p$ ,  $L(p)$  is the length of  $p$ , and  $r$  is the flow service rate. The flow index is omitted.

The key idea of the FQ is to calculate the service completion times of packets in an imaginary ideal fluid service model and use them as the service order in the real packet-based scheduler.

While having the excellent flow isolation property, the FQ needs to maintain the flow state,  $F(p-1)$ . For every arriving packet, the flow it belongs to has to be identified and its previous packet's FT should be extracted. As the packet departs, the flow state,  $F(p)$ , has to be updated as well.

We consider a framework for constructing FTs for packets at core nodes without flow states. In a core node, the following conditions on FTs SHOULD be met.

- C1) The 'fair distance' of consecutive packets of a flow generated at the entrance node has to be kept in the core nodes. That is;  $F_h(p) \geq F_h(p-1) + L(p)/r$ , where  $F_h(p)$  is the  $F(p)$  at core node  $h$ .
- C2) The order of FTs and the actual service order, within a flow, have to be kept. That is;  $F_h(p) > F_h(p-1)$  and  $Ch(p) > Ch(p-1)$ , where  $Ch(p)$  is the actual service completion time of packet  $p$  at node  $h$ .

- C3) The time lapse at each hop has to be reflected. That is;  $F_h(p) \geq F_{h-1}(p)$ , where  $F_{h-1}(p)$  is the FT of  $p$  at the node  $h-1$ , the upstream node of  $h$ .

In essence, (2) has to be approximated in core nodes. There can be many possible solutions to meet these conditions. We describe a generic framework with requirements for constructing FTs in core nodes, which are necessary to meet the conditions, without flow state, in the following.

Definition: An active period for a flow is a maximal interval of time during a node busy period, over which the FT of the most recently arrived packet of the flow is greater than the virtual time. Any other period is an inactive period for the flow.

Requirement 1: In the entrance node, it is REQUIRED to obtain the FTs with the following equation. 0 denotes the entrance node of the flow under observation.

$$F_0(p) = \max\{F_0(p-1), A_0(p)\} + L(p)/r.$$

Note that if the FTs are constructed according to the above equation, the fair distance of consecutive packets is maintained.

Requirement 2: In a core node  $h$ , it is REQUIRED to increase the FT of a packet by an amount,  $d_{h-1}(p)$ , that depends on the previous node and the packet.

$$F_h(p) = F_{h-1}(p) + d_{h-1}(p).$$

Requirement 3: It is REQUIRED that  $d_h(p)$  is a non-decreasing function of  $p$ , within a flow active period.

Requirements 1, 2, and 3 specify how to construct the FT in a network. By these requirements, Conditions C1), C2), and C3) are met. The following requirements 4 and 5 specify how the FT is used for scheduling.

Requirement 4: It is REQUIRED that a node provides service whenever there is a packet.

Requirement 5: It is REQUIRED that all packets waiting for service in a node are served in the ascending order of their FTs.

We call this framework the work conserving stateless core fair queuing (C-SCORE) [C-SCORE], which can be compared to the existing non-work conserving scheme [STOICA].



## 6.2. E2E Latency Bound

For C-SCORE to guarantee E2E latency bound, the  $dh(p)$  is RECOMMENDED to be defined as in the following.

$$dh(p) = SL_h. \quad (3)$$

The service latency of the flow at node  $h$ , denoted by  $SL_h$ , is given as follows.

$$SL_h = L_h/R_h + L/r, \quad (4)$$

where  $L_h$  is the observed maximum packet length in the node  $h$  over all the flows,  $R_h$  is the link capacity of the node  $h$ , and  $L$  is the maximum packet length in the flow.

The concept of the service latency was first introduced in the Latency-rate server model [STILIADIS-LRS], which can be interpreted as the worst delay the first packet of a new flow can experience in the system.

Consider the worst case: Right before a new flow's first packet arrives at a node, the transmission of another packet with length  $L_h$  has just started. This packet takes the transmission delay of  $L_h/R_h$ . After the transmission of the packet with  $L_h$ , the flow under observation could take only the allocated share of the link, and the service of the packet under observation would be completed after  $L/r$ . Therefore, the packet has to wait, in the worst case,  $L_h/R_h + L/r$ .

The reason to add the service latency to  $F(h-1)(p)$  to get  $F_h(p)$  is to meet Condition C3) in a most conservative way without being too excessive. Intuitively, when every packet's FT is updated with the flow's own worst delay, then a packet that experienced the worst delay gets a favor. Thus its worst delay will not get any worse, while the delay differences among flows are reflected.

When  $dh(p)$  is decided by (3), then it can be proved that

$$Dh(p) \leq (B-L)/r + SL_0 + SL_1 + \dots + SL_h, \quad (5)$$

where  $Dh(p)$  is the latency experienced by  $p$  from the arrival at the node 0 to the departure from node  $h$ ;  $B$ ,  $L$ , and  $r$  are the max burst of, max packet length of, and allocated rate to the flow under observation that  $p$  belongs to, respectively [KAUR].

Note that the latency bound in (5) is the same to the network where every node has a stateful FQ scheduler, including VC. The parameters in the latency bound are all intrinsic to the flow, except  $L_h/R_h$ .

### 6.3. Operational Procedure

#### 6.3.1. Metadata

As a packet arrives at a core node, it carries metadata  $F(h-1)(p)$ ,  $d(h-1)(p)$ , and  $L/r$ .

$L/r$  should be kept in a packet in order to calculate  $dh(p)$  according to (3) and (4).

$Fh(p)$  and  $dh(p)$  are dynamic and thus need to be updated at every hop.

$Fh(p)$  can be obtained by a summation of the metadata  $F(h-1)(p)$  and  $d(h-1)(p)$ .

$dh(p)$  can be obtained by a summation of the metadata  $L/r$  and the node specific parameter  $Lh/Rh$ .

They can be updated during the time interval between the packet arrival to the switch (or router) and putting it into the queue in the output port.

Alternatively,  $Fh(p)$  can be pre-calculated at node  $h-1$  with  $d(h-1)(p)$ , which is the function of node  $h-1$ . In this case  $Fh(p)$  and  $L/r$  are the only metadata necessary. In Section 6.3.3 and Section 6.3.4, we follow this alternative approach.

The clear definition and format of metadata will be described in a later version.

#### 6.3.2. Network Configuration for latency guarantee

A source requests E2E latency bound for a flow, specifying its arrival rate, maximum packet length, and maximum burst size. If the E2E latency bound can be met, the network admits the flow. In the process of admission decision, the service rate allocated to a flow can be decided according to the requested latency bound of the flow. The service rate should be chosen to be larger than or equal to the arrival rate of the flow. The network reserves the links in the path such that the sum of service rates to the flows does not exceed the link capacity.

The detailed operational procedure for such admission and reservation is out of scope of this document.

### 6.3.3. Role of entrance node for generation and update of FT

It is assumed that the packet length of  $p$ ,  $L(p)$ , is written in the packet header. Entrance node maintains the flow state, i.e. FT of packet  $(p-1)$  at node 0 ( $F0(p-1)$ ), the maximum packet length of the flow ( $L$ ), and the service rate allocated to the flow ( $r$ ). It operates a clock to identify the arrival time of a packet ( $A0(p)$ ). It collects the link information such as the maximum packet length of all the flow ( $L0$ ) and link capacity ( $R0$ ) to calculate the delay factor at the entrance node ( $d0(p)$ ).

Upon receiving or generating packet  $p$ , it obtains  $F0(p) = \max\{F0(p-1), A0(p)\} + L(p)/r$ , and uses it as the FT in the entrance node. If the queue is not empty then it puts  $p$  in a priority queue. It also obtains  $F1(p) = F0(p) + L0/R0 + L/r$  before or during  $p$  is in the queue. It writes  $F1(p)$  and  $L/r$  in the packet as metadata for use in the next node 1. Finally it updates the flow state information  $F0(p-1)$  to  $F0(p)$ .

### 6.3.4. Role of core node for update of FT

A core node  $h$  collects the link information  $Lh/Rh$ . As in an entrance node,  $Lh$  is a rather static value, but still can be changed over time. Upon receiving packet  $p$ , it retrieves metadata  $Fh(p)$  and  $L/r$ , and uses  $Fh(p)$  as the FT value of the packet. It puts  $p$  in a priority queue. It obtains  $F(h+1)(p) = Fh(p) + Lh/Rh + L/r$  and updates the packet metadata  $Fh(p)$  with  $F(h+1)(p)$  before or during  $p$  is in the queue.

### 6.3.5. Mitigation of complexity in entrance node

Flow states still have to be maintained in entrance nodes. When the number of flows is large, maintaining flow states can be burdensome. However, this burden can be mitigated as follows. The notion of an entrance node can be understood as a various edge device, including a source itself. FT of a packet is decided based on the maximum of  $F0(p-1)$  and  $A0(p)$ ; and  $L(p)/r$ . These parameters are flow-specific. There is no need to know any other external parameters. The arrival time of  $p$  to the network,  $A0(p)$ , can be defined as the generation time of  $p$  at the source. Then  $F0(p)$  is determined at the packet generation time and can be recorded in the packet. In other words, the entrance node functionality can reside in the source itself.

Therefore, we can significantly alleviate the complexity of the proposed framework. The framework is scalable and can be applied to any network.

#### 6.3.6. Compensation of time difference between nodes

As it has been stated in Section 5, we have assumed zero propagation delays between nodes. In reality, there are time differences between nodes, including the differences due to the propagation delays. This time difference can be defined as the difference between the service completion time of a packet measured at the upstream node and the arrival time of the packet measured at the current node. In other words,

$$td(h-1, h)(p) = Ah(p) - C(h-1)(p),$$

where  $td(h-1, h)(p)$  is the time difference between node  $h-1$  and  $h$ , and  $C(h-1)(p)$  is the service completion time measured at node  $h-1$ , for packet  $p$  respectively.

FT does not need to be precise. It is used just to indicate the packet service order. Therefore, if we can assume that the propagation delay is constant and the clocks do not drift, then  $td(h-1, h)(p)$  can be simplified to a constant value,  $td(h-1, h)$ . In this case the delay factor in (3) can be modified to be

$$dh(p) = SLh + td(h, h+1).$$

The E2E latency bound in (5) increases as much as the sum of propagation delays from node 0 to  $h$ .

The time difference  $td(h-1, h)$  may be updated only once in a while.

By the time difference compensation, the nodes become aware of the global clock discrepancies using a periodic quantification of the local clock discrepancies between adjacent nodes. Link by link, this ends up producing awareness of the discrepancies between the clocks of all the nodes, which is then included in the computation of the FTs in core nodes. It is not synchronization in a strict sense because it does not involve the re-alignment of the clocks, only the quantification of their differences.

Even with the clock discrepancies and propagation delays, the framework in this document does not need global time synchronization.

#### 6.4. Characteristics

#### 6.4.1. Taxonomy

The framework in this document, C-SCORE, is a flow level, rate based, work conserving, asynchronous, non-periodic, and in-time solution, according to the taxonomy suggested by [I-D.ietf-detnet-dataplane-taxonomy].

[I-D.ietf-detnet-dataplane-taxonomy] also defines seven suitable categories for deterministic networking. A category is defined to be a set of solutions that is put together by one or more criteria, where a criterion is a principle or standard by which a solution can be judged or decided to be put into a certain category.

C-SCORE belongs to the "flow level rate based unbounded category", which is one of the seven suitable categories, according to this categorization.

#### 6.4.2. Strengths

C-SCORE's per hop latency dominant factor is the maximum packet length divided by the service rate of the flow. This is independent of other flows' parameters. As such, its most distinguishable strength is the flow isolation capability. It can assign a fine tuned E2E latency bound to a flow, by controlling the flow's own parameters such as the service rate. Once the latency bound is assigned to the flow, then it remains almost the same in spite of the network situation changes, such as other flows' join and leave.

It is work conserving, thus enjoys the statistical multiplexing gain without wasting bandwidth, which is the key to the Internet's success. The consequence is a smaller average latency. The observable maximum latency is also much smaller than the theoretical latency bound. Note that, with a work conserving solution, observing the theoretical latency bound is extremely difficult in real situations. It is because the worst latency is an outcome of a combination of multiple rare events, e.g. a maximum burst from a flow collides with the maximum bursts from all other flows at every node. In contrast, non-work conserving solutions make it common to observe their latency bounds.

It is rate based, thus the admission condition check process is simple, which is dependent only on the service rates of flows. This process aligns well with existing protocols.

Overall, C-SCORE suits large scale networks, at any utilization level, with various types of flows join and leave dynamically.

## 7. IANA Considerations

There might be matters that require IANA considerations associated with metadata. If necessary, relevant text will be added in a later version.

## 8. Security Considerations

This section will be described later.

## 9. Acknowledgements

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