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Multipath Support for IGMP/MLD Proxy  
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## Abstract

This document specifies the framework to support multipath reception in Internet Group Management Protocol (IGMP) / Multicast Listener Discovery (MLD) proxy devices. The proposed mechanism allows IGMP/MLD proxy devices to receive multicast sessions/channels through different upstream interfaces. It defines static configuration methods for associating upstream interfaces with channel identifiers and interface priority values. A mechanism for upstream interface takeover that enables switching from an inactive to active upstream interface is also described.

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## 1. Introduction

The Internet Group Management Protocol (IGMP) [RFC3376][RFC5790] for IPv4 and the Multicast Listener Discovery Protocol (MLD) [RFC3810][RFC5790] for IPv6 are the standard protocols for hosts to initiate the joining or leaving of multicast sessions. A proxy device that performs IGMP/MLD-based forwarding (as known as IGMP/MLD proxy) [RFC4605] maintains multicast membership information using IGMP/MLD protocols on downstream interfaces and sends IGMP/MLD membership report messages via the upstream interface to upstream multicast routers when the membership information changes (e.g., by receiving solicited/unsolicited report messages). The proxy device forwards the appropriate multicast packets received on its upstream interface to each downstream interface based on the subscription of the downstream receiver.

According to the specification of [RFC4605], an IGMP/MLD proxy has `_a_single_` upstream interface and one or more downstream interfaces. Upstream and downstream interfaces on the IGMP/MLD proxy device must be configured manually, and the upstream interface is expected to be connected to a wider multicast infrastructure. Therefore, IGMP/MLD proxy devices perform the router portion of the IGMP or MLD protocol on their downstream interfaces and the host portion of IGMP/MLD on their upstream interface. They must not perform the router portion of IGMP/MLD on the upstream interface.

Conversely, there is a scenario in which IGMP/MLD proxy devices enable multiple upstream interfaces and receive multicast packets through these interfaces. For example, a proxy device with more than one interface may want to access different networks, such as the Internet and local-scope networks, or a proxy device with a wired link (e.g., Ethernet) and high-speed wireless link (e.g., 5G) may want to have the capability to connect to the Internet through both links. These proxy devices receive multicast packets from different upstream interfaces and forward them to the downstream interface(s). The applicability of IGMP/MLD proxies with multiple upstream interfaces in Proxy Mobile IPv6 (PMIPv6) [RFC5213] is described in [RFC6224].

This document specifies the framework to support multipath reception in IGMP/MLD proxy devices and defines the static upstream interface configuration mechanisms for IGMP/MLD proxies to select one or more upstream interfaces per multicast channel/session. Unlike the conventional approach [RFC4605], when a proxy device receives an IGMP/MLD report message on the downstream interface(s), it examines the source and multicast addresses in the records of the IGMP/MLD report message and selects the appropriate upstream interface(s). The upstream interfaces can be selected by static configurations based on channel identifiers and interface priority values. Note that the upstream interface selection by dynamic configurations is introduced in another document [I-D.contreras-pim-multiif-config] and out of scope of this document.

In addition, this document defines the method for a proxy device to select not only "one" upstream interface but also "more than two" upstream interfaces from the candidate upstream interfaces per session/channel. In this case, it can receive duplicate (redundant) packets for the session/channel from different upstream interfaces simultaneously, resulting in "robust data reception."

A mechanism for "upstream interface takeover" is also described in this document; when the selected upstream interface is going down or the state of the link attached to the upstream interface is inactive, one of the other active candidate upstream interfaces (i.e., backup upstream interface) takes over the upstream interface if configured.

## 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

In addition, the following terms are used in this document.

- \* Selected upstream interface (or simply, upstream interface): The interface of a proxy device in the direction of the root of the multicast forwarding tree. A proxy device performs the host portion of IGMP/MLD on its upstream interface. An upstream interface is selected from a list of candidate upstream interfaces.
- \* Default upstream interface: An upstream interface for multicast sessions/channels for which a proxy device does not select other upstream interfaces. The default upstream interface is configurable.
- \* Downstream interface: An interface that is not in the direction of the root of the multicast forwarding tree. A proxy device performs the router portion of IGMP/MLD on its downstream interfaces.
- \* Active upstream interface: An upstream interface that has been receiving packets for specific multicast sessions/channels during a predefined active interval.
- \* Inactive upstream interface: An interface that has not received packets for specific multicast sessions/channels during a predefined active interval.
- \* Candidate upstream interface: An interface that potentially becomes an upstream interface of the proxy device. A list of candidate upstream interfaces is configured with channel/session IDs and/or priority values on an IGMP/MLD proxy device.

- \* Backup upstream interface: A candidate upstream interface that remains passive (i.e., not receiving traffic for the corresponding multicast channel until a failover event occurs). It may be used where operator policy prioritizes maintaining a backup path to ensure data transfer rather than active multipath forwarding.
- \* Channel/session ID: It consists of source and multicast address prefixes for which a candidate upstream interface is assumed to be an upstream interface for specified multicast sessions/channels. The source or multicast address prefix can be "null".
- \* Robust data reception: The behavior in which multiple upstream interfaces are used in parallel to receive the same multicast channel/session or multiple multicast channels concurrently. It is RECOMMENDED for implementations to support this behavior, but MAY elect to use a single upstream interface when multipath is undesired.
- \* Upstream interface takeover: The behavior in which a proxy device disables the inactive interface and uses/switches the backup upstream interface when it detects that a selected upstream interface was going down or inactive.

### 3. Static Upstream Interface Configuration and Selection Mechanism

#### 3.1. Channel-Based Upstream Selection

An IGMP/MLD proxy device selects one or multiple upstream interface(s) from candidate upstream interfaces "per channel/session" based on the "channel/session ID" configuration. This mechanism is known as "channel-based upstream selection". This mechanism enables IGMP/MLD proxy devices to use one or multiple upstream interface(s) from candidate upstream interfaces "per channel/session" based on the "channel/session ID" configuration.

#### 3.2. Multiple Upstream Interface Selection for Robust Data Reception

When more than one candidate upstream interface is configured with the same source and multicast addresses for the "channel/session IDs" and "interface priority values" (this will be described in Section 4.2) are identical, these candidate upstream interfaces act as upstream interfaces for the sessions/channels and receive the packets simultaneously. This multiple upstream interface selection approach implements duplicate packet reception from redundant paths. This may improve the data reception quality or robustness of a session/channel, because the same multicast data packets can come from different upstream interfaces simultaneously. However, robust data reception does not guarantee packets coming from disjoint paths.

It only configures the adjacent upstream routers to differ.

### 3.3. Upstream Interface Takeover

"Upstream interface takeover" is a function for proxy devices to realize continuous multicast data reception. A proxy device can simultaneously use more than two upstream interfaces per session/channel. If a proxy device detects that a selected upstream interface is going down or inactive, it disables the interface and uses the backup upstream interface. To enable upstream interface takeover, the backup upstream interface MUST be configured. The backup upstream interface is selected among the candidate upstream interfaces covering the same channel/session ID. If multiple backup upstream interfaces are configured, the interface priority value for each backup upstream interface MUST be configured.

The condition of whether the upstream adjacent router is active or inactive can be determined by checking the link/interface conditions on the proxy device or by monitoring the IGMP/MLD Query or PIM [RFC7761] Hello message reception on the link. Note that there are cases where PIM is not running on the link or IGMP/MLD Query messages are not always transmitted by the upstream router (e.g., when the explicit tracking function [I-D.ietf-pim-explicit-tracking] is enabled).

An active interval is a period in which the selected upstream interface on the proxy device remains active. The active interval of each candidate upstream interface can be configured. Active interval values vary depending on whether the network operators wish to trigger via IGMP/MLD or PIM messages. The default active interval for detecting an inactive upstream interface MAY be approximately twice the IGMP/MLD General Query interval and PIM Hello interval (TODO). However, defining the optimal timer value for switching from an inactive upstream interface to an active upstream interface from a list of candidate upstream interfaces is out of scope of this document. It SHOULD be possible for operators to change the timer value according to the network conditions or other factors.

## 4. Candidate Upstream Interface Configuration

Candidate upstream interfaces are a set of interfaces from which an IGMP/MLD proxy device selects as an upstream interface. The upstream interface selection approach works with the configurations of "channel/session ID" and "interface priority value."

#### 4.1. Multicast Channel Record

IGMP/MLD proxy devices can configure the "channel/session ID" in the multicast channel record for each candidate upstream interface.

Channel/session ID consists of source and multicast address prefixes. Source address prefixes MUST be valid unicast address prefixes, and multicast address prefixes MUST be a valid multicast address prefixes. A proxy selects an upstream interface from its candidate upstream interfaces based on the channel/session ID configuration.

The default values of these address prefixes are "null." A null source address prefix represents a wildcard source address prefix, which indicates any host. A null multicast address prefix represents a wildcard multicast address prefix, which indicates the entire multicast address range (i.e., 224.0.0.0/4 for IPv4 or ff00::/8 for IPv6).

The channel/session ID configuration comprises the source and multicast address prefixes. A candidate upstream interface with a non-null source and multicast address configuration is prioritized for upstream interface selection. For example, if a proxy device has two candidate upstream interfaces for the same multicast address prefix *G\_p* but one of them has a non-null source address prefix *S\_p* configuration, that candidate upstream interface is selected for the source and multicast address pair (i.e., (*S\_p*,*G\_p*)). The other candidate upstream interface is selected for the configured multicast address prefix, excluding the source address prefix configured by the prior interface (i.e., (\*-*S\_p*,*G\_p*)).

The source address prefix configuration is prioritized over the multicast address prefix configuration. For example, consider the case where an IGMP/MLD proxy device has a configuration with the source address prefix *S\_p* for candidate upstream interface A and the multicast address prefix *G\_p* for candidate upstream interface B. When dealing with an IGMP/MLD record whose source address (*S*) is in the range of *S\_p* and whose multicast address (*G*) is in the range of *G\_p*, the proxy device selects candidate upstream interface A, which supports the source address prefix, as the upstream interface and transmits the (*S*,*G*) record via interface A.

In summary, in environments where multiple static upstream interface configurations are defined, the proxy device determines the applicable upstream interface based on the following precedence order:

- \* (S,G) association: If a specific source and multicast group pair is configured, the corresponding upstream interface is used for delivering traffic matching that pair.
- \* (S,\*) association: If a source address is configured without a specific group, the corresponding upstream interface is used for traffic from that source, regardless of group.
- \* (\*,G) association: If a multicast group is configured without a specific source, the corresponding upstream interface is used for traffic to that group, regardless of source.

When multiple upstream interfaces are configured with overlapping address prefixes, the interface with the highest configured priority value described in Section 4.2 is used; unless multiple interfaces share the same priority, in which case they are used in parallel for redundant reception as described in Section 3.2.

#### 4.2. Interface Priority

An IGMP/MLD proxy devices can configure the "interface priority" value for each candidate upstream interface. The priority is indicated by a positive integer value and is part of the configuration. A lower value indicates a lower priority, and the default value of the interface priority is zero.

The interface priority value is reflected when the channel/session ID is not configured as the candidate upstream interface or when multiple candidate upstream interfaces configure the same channel/session ID. In these cases, the candidate upstream interface with the highest priority is selected as the upstream interface. As stated in Section 3.2, if multiple candidate upstream interfaces have the same priority value, they act as upstream interfaces for the configured channel/session ID in parallel and may receive duplicate packets.

#### 4.3. Default Upstream Interface

Operators can configure "a default upstream interface" for all incoming sessions/channels in the IGMP/MLD proxy devices. A default upstream interface is used as the upstream interface when candidate upstream interfaces are not configured for the channel/session ID or interface priority value. A default upstream interface is also used if the proxy device detects configuration errors.

If a default upstream interface is not configured on an IGMP/MLD proxy device, the candidate upstream interface with the highest IPv4/v6 address is selected as the default upstream interface.



## 5. Updating YANG Model

Regarding the IGMP/MLD YANG model proposed in [RFC9166], there is a description of interfaces for IGMP (similarly for MLD). However, it is necessary to update the proposed YANG model to include all information about the upstream interfaces described in this document and to consider actions related to the dynamic upstream interface configuration. [I-D.zcl-pim-multiif-igmp-mld-proxy-yang] is a potential data model proposal used for this purpose.

## 6. Security Considerations

This document neither provides new functions nor modifies the standard functions defined in [RFC3376][RFC3810][RFC5790]; therefore, no additional security considerations are provided for these protocols. Conversely, it is possible to encounter denial-of-service (DoS) attacks to stop upstream interface takeover if attackers illegally send IGMP/MLD Query or PIM Hello messages on a LAN within a shorter period (i.e., before the expiration of the active upstream interface interval). To bypass such threats, it is recommended to capture the source addresses of the IGMP/MLD Query or PIM Hello message senders and examine whether these addresses correspond to the correct adjacent upstream routers. These considerations are TBD.

## 7. Summary of Aspects Requiring Further Discussion

We have the following open issues.

- \* Default active interval for detecting an inactive upstream interface (Section 3.3).
- \* Security threats from potential DoS attacks (Section 6).

They will be discussed in the future revisions of this document.

## 8. IANA Considerations

This document does not define any new IANA registries.

## 9. Acknowledgements

TBD.

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#### Appendix A. Proof of Concept

The support of multiple upstream interfaces for IGMP/MLD proxies was experimentally implemented following a controller-based configuration approach. The implementation was based on Linux using a software-defined networking application running over a Ryu controller. This application uses OpenFlow from the controller to control an Open vSwitch, which relays downstream multicast data flows and upstream IGMP/MLD control traffic. The proof of concept is comprehensively

described in [ICIN.xml] and the implementation is publicly available at [GITHUB].

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