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NTRU Key Encapsulation
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Abstract

This draft document provides recommendations for the implementation of a post-quantum Key Encapsulation Mechanism (KEM) scheme based on the NTRU encryption scheme. The KEM is an existing cryptographic system; no new cryptography is defined herein. The well-defined and reviewed parameter sets for the scheme are defined and recommended. The test vectors are also provided.

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1. Foreword

This document is based on the specification submitted to Round 3 of the NIST's Post Quantum Cryptography project [CDHH19], with the addition of HPS40961229 and HRSS1373 parameters. The original specification can be obtained at the following URL: <https://ntru.org/> (<https://ntru.org/>)

2. Introduction

NTRU encryption scheme is a post-quantum public-key cryptosystem constructed on the principles of lattice-based cryptography, which provides encrypted and decrypted data. The basic concept of NTRU encryption was originally described in 1996 by Jeffrey Hoffstein, Jill Pipher, and Joseph H. Silverman [HPS98]. It has been developed by many researchers and has many variants.

NTRU Key Encapsulation Mechanism (KEM) described in this document is based on the mechanism submitted to the Round 3 of the NIST's Post Quantum Cryptography project [CDHH19]. NTRU should denote the couple of NTRU variants that the KEM relies on; NTRU consists of NTRU-HPS and NTRU-HRSS [HRSS17]. NTRU KEM is constructed using a generic transformation from a deterministic public key scheme (correct DPKE) into a KEM (which has tight proof of IND-CCA2 security in a classical and quantum model).

NTRU has a tight proof of IND-CCA2 security in the quantum accessible random oracle model (QROM) under a non-standard assumption stated by Saito, Xagawa, and Yamakawa [SXY18]. To achieve IND-CCA2 security, NTRU uses the SXY transformation [SXY18] and does not rely on the Fujisaki-Okamoto transformation [FO99], which is based on the re-encryption and comparison of the ciphertext during decryption.

The organization of this document is as follows:

- * Section 2 is an introduction.
- * Section 3 defines some notation used in this document.
- * Section 5 describes the cryptographic dependencies of NTRU KEM.
- * Section 6 gives serializing and deserializing procedures.
- * Section 7 describes the common part in the HPS and HRSS types of the NTRU KEM scheme.
- * Section 8 describes the specific procedures for each of the HPS and HRSS types

3. Terminology

3.1. Conventions and Definitions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

3.2. Notational Conventions

The following functions, terminology, and notation are used throughout the document.

`len(x)` For any object `x`, we write `len(x)` to denote its length in bytes.

`x || y` For two byte arrays `x` and `y`, write `x || y` to denote their concatenation.

`I2OSP(x, xLen)` Converts a non-negative integer `x` into a byte array of specified length `xLen` as described in {{!RFC8017}}. Note that this function returns a byte array in big-endian byte order.

`N` and `Q` `N` and `Q` are part of the NTRU parameter set. They are coprime positive integers. The first defines the size of the polynomials (treated as zero-indexed arrays), and the latter refers to the modulus of coefficients.

`R` and `S` When computing polynomial operations in NTRU, it is

performed modulo either the polynomial $R = x^N - 1$ or polynomial $S = (x^N - 1)/(x - 1) = (x^{(N-1)} + x^{(N-2)} + \dots + 1)$.

`mod n` Where n is a non-negative integer, the qualified polynomial expression should be calculated under the constraints that their coefficients should be the remainder of n . This annotation can be mapped to the reduction described in Section 5.1.

`mod (n, P)` Where n is a non-negative integer and P is a polynomial, the qualified polynomial expression should be calculated under the constraints that the polynomial is the remainder of polynomial P , where the coefficients are also taken modulo n . This annotation can be mapped to the reductions described in Section 5.1. Please note that this is an NTRU-specific notation.

`Inv_(M,n)(P)` `Inv_(M,n)(P)` computes the multiplicative inverse of the polynomial $P \bmod (n, M)$ where M is a polynomial and n is an integer. This operation is described in Section 5.1.5.

`Phi_1` `Phi_1` is the polynomial $x - 1$

`Phi_N` `Phi_N` is the polynomial $x^{(N-1)} + x^{(N-2)} + \dots + 1 = S$

`modPhiN(P)` `modPhiN(P)` reduces with `Phi_N` where P is a polynomial. This operation is described in Section 5.1.7.

`modPhilPhiN(P)` `modPhilPhiN(P)` reduces with `Phi_1Phi_N` where P is a polynomial.

`sample_iid` `sample_iid` selects a random ternary polynomial. This operation is described in Section 5.2.1.

`sample_iid_plus` `sample_iid_plus` selects a random ternary plus polynomial. This operation is described in Section 5.2.3.

`sample_fixed_type` `sample_fixed_type` selects a random ternary polynomial with a specific weight; it has an equal number of 1s and -1s in its coefficients, as determined by the parameter set. This procedure is described in Section 5.2.2.

4. Parameter Sets

4.1. NTRU-HPS

We define four parameter sets on the NTRU-HPS algorithm.

Parameter Set	Polynomial Size N	Modulus Q	Hash
<code>ntruhs2048677</code>	677	$2048=2^{11}$	SHA3-256
<code>ntruhs4096821</code>	821	$4096=2^{12}$	SHA3-256
<code>ntruhs40961229</code>	1229	$4096=2^{12}$	SHA3-256

Table 1: NTRU-HPS parameter sets

Parameter Set	Private key	Public key	Ciphertext C	Secret string K
ntruhrs2048677	1,234	930	930	32
ntruhrs4096821	1,590	1,230	1,230	32
ntruhrs40961229	2,366	1,842	1,842	32

Table 2: Data Size in NTRU-HPS (Bytes)

4.2. NTRU-HRSS

We define two parameter sets on the NTRU-HRSS algorithm.

Parameter Set	Polynomial Size N	Modulus Q	Hash
ntruhrs701	701	$8192=2^{13}$	SHA3-256
ntruhrs1373	1373	$16384=2^{14}$	SHA3-256

Table 3: NTRU-HRSS parameter sets

Parameter Set	Private key	Public key	Ciphertext C	Secret string K
ntruhrs701	1,450	1,138	1,138	32
ntruhrs1373	2,983	2,401	2,401	32

Table 4: Data Size in NTRU-HRSS (Bytes)

5. Cryptographic Dependencies

5.1. Polynomials

5.1.1. Polynomial in NTRU

NTRU is based on polynomials; the core algorithm of NTRU is described and calculated using polynomial operations. The polynomials used in NTRU are similar to well-known algebra, but they have some restrictions and specific properties.

The coefficients of a polynomial used in NTRU are non-negative integers and computed modulo a constant factor. The modulus may be either 3 or the value Q , where the parameter Q is specified by the parameter set; NTRU uses both at times but assumes it is Q unless specified otherwise because Q is used in most cases. Computing modulus Q means that each coefficient ranges between 0 and $Q-1$. And since Q is specified in the power of 2, the integers $Q/2$ to $Q-1$ can be regarded as negative values expressed in two's complement of $\log_2(Q)$ -bits integer. The polynomial operation of addition and subtraction under these conditions are defined in Section 5.1.2 and Section 5.1.3.

The degree of a polynomial used in NTRU is less than $N-1$. It is due to the polynomial modulo called the reduction performed in the multiplication operation. The multiplication operation is described in Section 5.1.4 and the reduction is described in Section 5.1.6.

There's a special form of a polynomial used in NTRU called the ternary polynomial. It is a polynomial whose coefficients are only 0, 1, -1. Further explanation will be provided Section 5.1.1.1

A polynomial used in NTRU is generally represented like $a_{(N-1)}x^{(N-1)} + a_{(N-2)}x^{(N-2)} + \dots + a_2x^2 + a_1x + a_0$ (where N is specified by the parameter set, x is an independent variable that doesn't take a specific value, and a_0 to $a_{(N-1)}$ are their coefficients). In this case, we don't think of a polynomial as a function of x that we can evaluate; instead, it is a quantity in and of itself. So a polynomial can be conveniently represented as an array of the coefficient values $\{a_0, a_1, \dots, a_{(N-2)}, a_{(N-1)}\}$; it is an array of N small values with the exponent factor being implicit in the positions in the array. In all parameter sets, Q is less than 65536, hence each small value fits within a 16 bit value.

5.1.1.1. Ternary Polynomials

Some of the polynomials that NTRU uses are 'ternary polynomials'. These are standard polynomials that have all their coefficients being either 0, 1, or -1. Actually, -1 will be $Q-1$ modulo Q and it will be 2 modulo 3. The standard operations (including polynomial multiplication and inversion) can be done the same. However, an implementation may decide to optimize some operations based on a specific polynomial being ternary.

5.1.1.2. Ternary Plus Polynomials

The ternary plus polynomial is a kind of the ternary polynomial that satisfies the non-negative correlation property; the ternary plus polynomial has the property that the sum of each product of all pairs of the adjacent coefficients should be the non-negative value.

For example, the polynomial $x^5 + x^4 + x^2 - x - 1$ is a ternary plus polynomial because $(c_5 * c_4) + (c_4 * c_3) + (c_3 * c_2) + (c_2 * c_1) + (c_1 * c_0) = 1*1 + 1*0 + 0*1 + 1*(-1) + (-1)*(-1) = 1 + 0 + 0 - 1 + 1 = 1 \geq 0$.

In the implementation, the sum need to be computed modulo Q , so the modulus may need to be changed.

For above example polynomial, it is computed as $(c_5 * c_4) + (c_4 * c_3) + (c_3 * c_2) + (c_2 * c_1) + (c_1 * c_0) \bmod Q = 1*1 + 1*0 + 0*1 + 1*(Q-1) + (Q-1)*(Q-1) \bmod Q = 1 + 0 + 0 + (Q-1) + 1 \bmod Q = 1$ and the sum $< Q/2$, where the negative values are represented between $Q/2$ and $Q-1$, means the polynomial is a ternary plus polynomial.

5.1.2. Polynomial Addition

When NTRU adds two polynomials, it does it by adding each element of the vector independently modulo Q .

For example, compute the addition of $A = 7x + 6$ and $B = 5x + 4$ where $Q = 8$, below:

$$\begin{aligned} A + B &= (7+5 \bmod 8)x + (6+4 \bmod 8) \\ &= (12 \bmod 8)x + (10 \bmod 8) \\ &= 4x + 2 \end{aligned}$$

5.1.3. Polynomial Subtraction

When NTRU subtracts two polynomials, it does it by subtracting each element of the vector independently modulo Q ; that is, if the subtraction of two elements results in a negative value, it adds modulus Q to the difference.

For example, compute the subtraction of $B = 7x + 3$ from $A = 5x + 4$ where $Q = 8$, below:

$$\begin{aligned} A - B &= (5-7 \bmod 8)x + (4-3 \bmod 8) \\ &= (-2 \bmod 8)x + (1 \bmod 8) \\ &= (8-2 \bmod 8)x + 1 \\ &= 6x + 1 \end{aligned}$$

5.1.4. Polynomial Multiplication

When NTRU multiplies two polynomials, it does it by multiplying each pair of elements from each polynomial, and adding that result to the element indexed by the sum of the indicies. For example, $(2x^2 + 3x + 5) * (4x + 8) = (2*4)x^3 + (2*8 + 3*4)x^2 + (3*8 + 4*5)x + 5*8 = 8x^3 + 28x^2 + 44x + 40$.

As the coefficients must be within a specific range, they need to be computed modulo a constant factor (either 3 or the value Q ; NTRU uses both at times, but Q is used in most cases). In the above example, assuming modulo $Q=16$, it would be $(8 \bmod 16)x^3 + (28 \bmod 16)x^2 + (44 \bmod 16)x + (40 \bmod 16) = 8x^3 + 12x^2 + 12x + 8$.

Then, it needs to make the reductions described in Section 5.1.6 to this multiplication. In the example above again, assuming $N=3$, the final result would be $8 + 12x^2 + 12x + 8 = 12x^2 + 12x + (16 \bmod 16) = 12x^2 + 12x$.

These computation steps can be optimized but are not described here.

5.1.5. Polynomial Inversion

When NTRU 'interverts a polynomial' X modulo a polynomial P , it finds a polynomial Y such that $X * Y$ gives the polynomial $c_Nx^N + c_{(N-1)}x^{(N-1)} + c_{(N-2)}x^{(N-2)} + \dots + c_1x^1 + c_0 \bmod P = 0x^{(N-1)} + 0x^{(N-2)} + \dots + 0x^2 + 0x^1 + 1 = 1$, in other words, a polynomial where the constant term is 1, and all other terms are 0. Such Y is called the inverse of X (or, more precisely, the multiplicative inverse of X .)

There are some well-known algorithms to compute the inverse efficiently; for instance, extended Euclidean algorithm (see Section 4.5.2 of [K1981v2].)

Note that the inverse exists for most polynomials under the polynomial modulo Φ_N but does not always exist.

5.1.6. Polynomial Reduction

When NTRU multiplies two polynomials, it takes the multiplication modulo $\Phi_1 * \Phi_N = x^N - 1$ (where the value of N is specified in the parameter set); that is, we subtract multiples of x^{N-1} until the result is a polynomial of degree $N-1$ or less. An equivalent way of expressing this is to add the resulting coefficient to the term $x^{(i+N)}$ to the coefficient to the term x^i (modulo the constant factor), and then discard all terms x^N and above.

Please find an example at Section 5.1.4

5.1.7. Computing a Polynomial Modulo $(x^{(N-1)})/(x-1)$

At one point, we need to take a polynomial modulo $\Phi_N = x^{(N-1)} + x^{(N-2)} + \dots + 1 = (x^{(N-1)})/(x-1)$. We refer to this operation as `modPhiN`. When the parameter polynomial can be assumed to be already reduced with Φ_N , it MAY be performed by taking the top coefficient and subtracting it from all the other coefficients.

For example, `modPhiN(P)`, where $P = 2x^3 + 3x^2 + 4x + 5$ and $N = 4$, is computed as below:

```
modPhiN(P) = modPhiN(2x^3 + 3x^2 + 4x + 5)
            = 2x^3 + 3x^2 + 4x + 5 mod (x^3 + x^2 + x^1 + x + 1)
            = (3-2)x^2 + (4-2)x + (5-2)
            = x^2 + 2x + 3
```

5.1.8. Modulus Conversion

NTRU sometimes needs to change the modulus of the polynomial coefficients to a different value. As described above, each of the coefficients is a non-negative circular integer, and values under modulo M in the range of $[M/2, M-1]$ can be regarded as additive inverses, which are values in the range of $[-M/2, -1]$. Therefore, the conversion of the modulus needs to re-compute such effective negative values.

Such a conversion from modulus M_{from} to modulus M_{to} MAY be performed with the following steps: The operation is performed by mapping each coefficient v in the range of $[M_{\text{from}}/2, M_{\text{from}}-1]$ to $(M_{\text{to}} - (M_{\text{from}} \bmod M_{\text{to}}) + v \bmod M_{\text{to}})$.

5.2. Selecting Random Polynomials

When running NTRU, we sometimes need random polynomials with certain forms, which is called sampling.

We need to do this both when generating keys and when encrypting a message. It MUST rely on a cryptographically secure random number generator (RNG) to select these values.

5.2.1. Sample a random ternary polynomial

This function (referred to as `sample_iid` below) selects a random ternary polynomial of degree $N-2$, that is, one where all the coefficients are either 0, 1, or -1. Since the coefficients need to be generated modulo 3, the coefficient values of -1, which is the additive inverse of 1 under modulo 3, are mapped to 2.

Such a polynomial MAY be obtained with the following steps: The operation is performed by calling the RNG $N-1$ times to generate $N-1$ bytes, and then taking each byte modulo 3 (and setting the last coefficient to be 0.) While this operation is not precisely uniform, it is close enough for the purposes of NTRU.

5.2.2. Sample a random balanced ternary polynomial

This function (hereafter referred to as `sample_fixed_type`) selects a random ternary polynomial of degree $N-2$ with a specific weight; it consists of $Q/16-1$ coefficients which are 1, $Q/16-1$ coefficients are -1, and the remainder are 0. Since the coefficients must be generated modulo 3, the coefficient value of -1, the additive inverse of 1 under modulo 3, is mapped to 2.

Such a polynomial MAY be obtained with the following steps: This operation is performed by generating $N-1$ random values, tagging $Q/16-1$ of the values as 1, $Q/16-1$ of the values as -1, and the rest tagged as 0. Then, you can sort (in constant time) the random values; the resulting tags are in the required random order. You then scan through the list and assign the coefficients to the values of the tags.

5.2.3. Sample a random ternary plus polynomial

This function (referred to as `sample_iid_plus` below) selects a random ternary plus polynomial of degree $N-2$, that is, one where all the coefficients are either 0, 1, or -1 with the non-negative correlation property. Since the coefficients need to be generated modulo 3, the coefficient values of -1, which is the additive inverse of 1 under modulo 3, are mapped to 2.

Such a polynomial MAY be obtained with the following steps:

1. Call `sample_iid` to sample a base ternary polynomial V_3
2. Let V be V_3 changed modulus from 3 to Q
3. Compute $T =$ the sum of the products of each pair of adjacent coefficients of V ; $v_{(N-1)}v_{(N-2)} + v_{(N-2)}v_{(N-3)} + v_{(N-3)}v_{(N-4)} + \dots + v_2v_1 + v_1v_0$ where v_n is the coefficient of x^n in the polynomial V

4. If T is a negative value, then multiply the even coefficients of V_3 by -1 . That is, for $i = 0, 2, 4, 6, \dots, N-3$: $v_3[i] = -v_3[i]$.
5. Output a sampled ternary plus polynomial with the value of V_3

6. Encoding Mechanisms

6.1. Validating polynomials

We also need to validate polynomials generated during decapsulation; that is, whether they were possible outputs of the `sample_iid` or `sample_fixed_type` procedures.

6.1.1. `valid_iid`

This verifies that R is a possible output of the `sample_iid` procedure; that is, that the coefficients of the polynomial R consist only of 0 , 1 , and -1 , and that the last coefficient is 0 .

6.1.2. `valid_fixed_type`

This verifies that M is a possible output of the `sample_fixed_type` procedure; that is, that the coefficients of the polynomial R consist only of 0 , 1 , and -1 , that the last coefficient is 0 , and that there are precisely $Q/16-1$ 1 values and $Q/16-2$ $Q-1$ values.

6.2. Converting Between Polynomials and Byte Strings

NTRU needs to convert polynomials into byte strings and vice versa, both to export public keys and ciphertexts, as well as being able to hash those polynomials. We refer to this process as serialization and deserialization.

6.2.1. Serialize a polynomial base q

This function (referred to as `pack_Rq0` below) converts a polynomial into a byte string.

This function takes the first $N-1$ coefficients (each a value between 0 and $q-1$), and expresses each as a $\log_2(Q)$ bit bitstring as a little-endian integer. All $N-1$ coefficients are of length $\log_2(Q)$. Then, it concatenates those $N-1$ bit strings into a long bit string; the result is that bit string parsed into bytes (with any trailing bits in the last byte being set to 0).

The inverse function (called) `unpack_Rq0` converts that byte string back into a polynomial.

It takes the byte string, parses it into $N-1$ consecutive $\log_2(Q)$ bit strings, takes each such bit string as a little-endian integer, and sets the corresponding coefficient of the polynomial to that integer. Since all bit strings are of equal length, this can be done efficiently. Then, it adds all those $N-1$ coefficients together and sets the N -th coefficient to the negation of that sum modulo Q .

A close reading of the above algorithms will note that the `pack_Rq0` doesn't actually depend on the last coefficient. This is because this code assumes that the polynomial is a multiple of the polynomial $x-1$; the `unpack_Rq0` code uses that assumption to reconstruct that last coefficient.

This assumption is true within NTRU because `pack_Rq0` will be called only for polynomials that are a multiple of the polynomial G ; we always sample G values that have an equal number of 1 and -1 coefficients (with the rest 0), and any such a polynomial will always be a multiple of $x-1$.

6.2.2. Serialize a ternary polynomial

This function (referred to as `pack_S3` below) converts a ternary polynomial into a byte string. It works by taking the coefficients in groups of 5 and packing each such group into a byte.

This function takes the $N-1$ coefficients in sets of 5; it converts the five coefficients c_0, c_1, c_2, c_3 , and c_4 into the values 0, 1, or 2. Then, it sums up the coefficients as $c_0 + 3*c_1 + 9*c_2 + 27*c_3 + 81*c_4$ and then stores that value as the next byte in the byte string.

If the last set of 5 is incomplete (which will happen if $N-1$ is not a multiple of 5), then the higher missing coefficients are assumed to be zero.

Now, if the polynomial happens not to be ternary, then it doesn't matter what byte we store; we need to store some value, and this code still needs to be constant time. The reason we don't care is this happens only on decryption failure (someone handed us an invalid ciphertext); in that case, the value of the hash will end up being ignored. Of course, no matter what the coefficient is, this still needs to be done in constant time.

The output of this function will be used only for hashing; hence, there is no need for an inverse function.

7. NTRU KEM

7.1. Scheme Overview

NTRU KEM solves the problem where two systems (we'll call them Alice and Bob) wish to establish a common secret string that they can use to derive keys to protect future communication. They share a communication path that is authenticated (that is, the problem of detecting changes to messages between Alice and Bob is solved by something else), but that communication path may be monitored. What NTRU KEM tries to achieve is to ensure that someone monitoring the communication path cannot rederive the common secret string (and hence cannot derive the communication keys).

To do this, Alice and Bob follow this three-step process.

- * Step 1: Alice follows the 'Private and Public Key Generation' procedure; this creates a private key (which Alice keeps to herself) and a public key, which she sends to Bob. Alternatively, she may decide to reuse a previously generated keypair.
- * Step 2: Bob receives Alice's public key, and follows the 'Key Encapsulation' procedure; this creates a secret string (which Bob keeps to himself) and a ciphertext, which he sends to Alice
- * Step 3: Alice receives Bob's ciphertext, and follows the 'Key Decapsulation' procedure; this creates a secret string (which Alice keeps to herself). Alice can then either destroy her private key, or keep it around for next time.

The secret strings that Alice and Bob generate are the same, and can be used for creating symmetric keys or other key-shared material used to protect future communications.

7.2. Private and Public Key Generation

This generates both a `private_key` and a `public_key`. The `private_key` should be kept securely stored, and the `public_key` should be made public to the communication partner.

The brief procedure to generate a public/private keypair is given below:

1. Select two 'short' polynomials `F` and `G`.
 - * A 'short' polynomial means that each coefficient of the polynomial must be either 0, 1, or -1 here.

- * -1 could be $Q-1$ or 2 in the implementation. Please remember that the additive inverse of 1 is $Q-1$ under modulus Q , and it is 2 under modulus 3.
- * The polynomial F is a part of the private key.
- 2. Multiply each coefficient of G by 3.
- 3. Compute $H = \text{Inv_}(S,Q)(F) * G \bmod(Q, \text{Phil} * \text{PhiN})$
 - * The polynomial H is the public key.
- 4. Compute $H_{\text{inv}} = \text{Inv_}(S,Q)(H)$
 - * The polynomial H_{inv} is a part of the private key.
- 5. Compute $F_{\text{inv}} = \text{Inv_}(S,3)(F)$
 - * The polynomial F_{inv} is a part of the private key.
- 6. Generate a random 32 byte value s randomly

Note that it is possible to improve performance by modifying the calculation process.

Steps 3 and 4 compute the multiplicative inverses, but they tend to consume many cycles. Introducing medium variable V_0 and V_1 reduces one inverse computation:

1. Compute $V_0 = G * F$
2. Compute $V_1 = \text{Inv_}(S,Q)(V_0)$
3. Compute $H = V_1 * G * G$
4. Compute $H_{\text{inv}} = V_1 * F * F$

The recommended strict procedure to generate a public/private keypair is defined below:

1. Generate a random polynomial F_3 and G_3 using the `sample_fg` procedure
 - * The `sample_fg` procedure varies depending on NTRU Type, NTRU-HPS and NTRU-HRSS, and it is described in their respective subsections in Section 8
2. Let F and G be F_3 and G_3 changed modulus from 3 to Q
3. Multiply each coefficient of G by 3
4. Compute $V_0 = \text{modPhiN}(G * F \bmod Q)$
5. Compute $V_1 = \text{Inv_}(S,Q)(V_0)$
6. Compute $H = \text{modPhilPhiN}(V_1 * G * G \bmod Q)$
7. Compute $H_{\text{inv}} = \text{modPhilPhiN}(V_1 * F * F \bmod Q)$
8. Compute $F_{\text{inv}}(3) = \text{Inv_}(S,3)(F_3)$
9. Output a public key with the value H
10. Output a private key with a set of the values $(F_3, F_{\text{inv}}(3), H_{\text{inv}})$
11. Dispose of any other intermediate values securely

These keys should be serialized as below:

- * `public_key = pack_Rq0(H)`

```
* private_key = pack_S3(F_3) || pack_S3(F_(inv,3)) || pack_Sq(H_inv)
```

7.3. Key Encapsulation

This takes a public key H , and generates both a ciphertext C as well as a secret string K . The ciphertext C should be sent to the holder of the private key; the string K should be used as the secret.

The brief procedure is as follows:

1. Select two 'short' polynomials R_{shared} and M_{shared}
 - * Please refer to the Private and Key Generation section for the definition of 'short' polynomials.
2. Compute K as the hash value of concatenated octets ($R_{\text{shared}} || M_{\text{shared}}$)
 - * R_{shared} and M_{shared} here refer to serialized ones
 - * The hash function is defined in the parameter set
 - * The octet string K is the secret string.
3. Compute $C = R_{\text{shared}} * H + M_{\text{shared}} \bmod (Q, R)$
 - * The polynomial C is the ciphertext.

The set of R_{shared} and M_{shared} is a secret key shared with NTRU encryption scheme. In the NTRU KEM scheme, it takes a hash value of this shared key to enhance strength against security attacks. It ensures the same value on both sides of the key share.

The recommended strict procedure of the key encapsulation is defined below:

1. Generate a random polynomial $R_{\text{shared}}(3)$ and $M_{\text{shared}}(3)$ using the `sample_rm` procedure
 - * The `sample_rm` procedure varies depending on NTRU Variant, NTRU-HPS and NTRU-HRSS, and it is described in their respective subsections in Section 8
2. Set `packed_rm = pack_S3(R_(shared,3)) || pack_S3(M_(shared,3))`
3. Compute $K = \text{Hash}(\text{packed_rm})$ where Hash is the hash function defined in the parameter set
4. Output a secret string with the value of K
5. Let R_{shared} and M_{shared} be $R_{\text{shared}}(3)$ and $M_{\text{shared}}(3)$ changed modulus from 3 to Q
6. Compute $C = \text{modPhilPhiN}(R_{\text{shared}} * H + M_{\text{shared}} \bmod Q)$
7. Output a ciphertext with the value C

Related to this procedure, the polynomials should be deserialized and serialized as below:

```
* H = unpack_Rq0(public_key)
* ciphertext = pack_Rq0(C)
```


7.4. Key Decapsulation

This takes a private key (F , F_{inv} , H_{inv}) and a ciphertext C , and produces a secret string K . If the ciphertext is the same as what was produced by the key encapsulation procedure, then this will generate the same secret string K .

The brief procedure to decapsulate an encapsulated key C and to obtain a secret string K is given below:

1. Compute $A = C * F \bmod (Q, R)$
2. Compute $M_{\text{shared},3} = A * F_{\text{inv}} \bmod (3, S)$
 - * Note that some of the coefficients may be 'negative' (that is, in the range $Q/2$ to $Q-1$); those need to be treated as negative values for this next step.
3. Compute $R_{\text{shared}} = (C - M_{\text{shared}}) * H_{\text{inv}} \bmod (Q, S)$
4. Set $\text{Success} = \text{ValidM}(M_{\text{shared}}) \text{ AND } \text{ValidR}(R_{\text{shared}})$
5. Compute $K1$ as the hash value of concatenated octets ($R_{\text{shared}} || M_{\text{shared}}$)
 - * R_{shared} and M_{shared} here refer to serialized ones
 - * The hash function is defined in the parameter set
 - * The octet string K is the secret string.
6. Compute $K2$ as the hash value of concatenated octets ($s || C$)
7. If Success , return $K=K1$; otherwise, return $K=K2$

At the step 1, please note that $A = C * F$ where $C = R_{\text{shared}} * \text{Inv}(F) * G + M_{\text{shared}}$; this results in $C * F = R_{\text{shared}} * G + M_{\text{shared}} * F$. Since all the polynomials R_{shared} , G , M_{shared} , and F are short polynomials, the resulting coefficients are not large (that is, always less than $Q/2$ in absolute value), and so the fact that we computed everything modulo Q can be ignored. In the step 2, taking all the coefficients modulo 3 (taking into account the negative coefficients); because all the coefficients of G are multiples of 3 (and so is $R_{\text{shared}} * G$), those drop out, and the only $M_{\text{shared}} * F$ (with each coefficient taken modulo 3) must be left as the remainder. Then, multiplying that polynomial by F_{inv} will recover M_{shared} . It must be equal to the original.

The set of R_{shared} and M_{shared} , which are a secret key shared with the NTRU encryption scheme, is equal on both sides, so taking a hash value of them produces a copy of the secret string K .

The recommended strict procedure of the key decapsulation is defined below:

1. Let F be F_3 changed modulus from 3 to Q
2. Compute $V_1 = C * F$
3. Let $V_{(1,3)}$ be V_1 changed modulus from (Q, R) to $(3, S)$

4. Compute $M_{(0,3)} = \text{modPhiN}(V_{(1,3)} * F_{(\text{inv},3)} \bmod 3)$
 * $M_{(0,3)}$ is a part of the shared key identified with $M_{(\text{shared},3)}$
5. Compute $m_1 = \text{Lift}(M_{(0,3)})$
 * The Lift procedure varies depending on NTRU Type, NTRU-HPS and NTRU-HRSS, and it is described in their respective subsections in Section 8
6. Compute $R_{\text{shared}} = \text{modPhiN}((C - M_1) * H_{\text{inv}} \bmod Q)$
7. Let $R_{(\text{shared},3)}$ be R_{shared} changed modulus from Q to 3
8. Set Success = ValidM($M_{(\text{shared},3)}$) AND ValidR($R_{(\text{shared},3)}$)
 * The ValidR procedure and ValidM procedure vary depending on NTRU Type, NTRU-HPS and NTRU-HRSS, and it is described in their respective subsections in Section 8
9. Set packed_rm = pack_S3($R_{(\text{shared},3)}$) || pack_S3($M_{(\text{shared},3)}$)
10. Compute $K1 = \text{Hash}(\text{packed_rm})$ where Hash is the hash function defined in the parameter set
11. Compute $K2 = \text{Hash}(s || C)$ where Hash is the hash function defined in the parameter set
12. If Success, output a secret string with the value of $K=K1$; otherwise, return $K=K2$

Related to this procedure, the polynomials should be deserialized and serialized as below:

```
* C = unpack_Rq0(ciphertext)
* F_3 = unpack_S3(F_(3,serialized))
* F_(inv,3) = unpack_S3(F_(inv,3,serialized))
* H_(inv,3) = unpack_Sq(H_(inv,3,serialized))
```

8. NTRU Types

The NTRU-HPS and NTRU-HRSS algorithms are very similar, and the common aspects are described in Section 7. This section describes the type-specific ones.

8.1. NTRU-HPS

8.1.1. Private and Public Key Generation

The sample_fg procedure of the HPS type is defined as follows:

```
* Generate a random polynomial F_3 using the sample_iid procedure
* Generate a random polynomial G_3 using the sample_fixed_type
  procedure
* Output the sampled polynomials with a set of the values (F_3, G_3)
```

8.1.2. Key Encapsulation

The `sample_rm` procedure of the HPS type is described as follows:

- * Generate a random polynomial `R_(shared,3)` using the `sample_iid` procedure
- * Generate a random polynomial `M_(shared,3)` using the `sample_fixed_type` procedure
- * Output the sampled polynomials with a set of the values `(R_(shared,3), M_(shared,3))`

8.1.3. Key Decapsulation

In the HPS type, the `ValidR` procedure is equivalent to `valid_iid` procedure, and the `ValidM` procedure is equivalent to `valid_fixed_type` procedure.

The `Lift(M_3)` procedure of the HPS type is an identity function, input is a polynomial parameter `M_3`, and it is described as follows:

- * Let `M` be `M_3` changed modulus from 3 to Q
- * Output an injected polynomial with the value of `M`

8.2. NTRU-HRSS

8.2.1. Private and Public Key Generation

The `sample_fg` procedure of the HRSS type is defined as follows:

- * Generate a random polynomial `F_3` using the `sample_iid_plus` procedure
- * Generate a random polynomial `G_(0,3)` using the `sample_iid_plus` procedure
- * Let `G_0` be `G_(0,3)` changed modulus from 3 to Q
- * Compute `G = Phi_1 * G_0`
- * Let `G_3` be `G` changed modulus from Q to 3
- * Output the sampled polynomials with a set of the values `(F_3, G_3)`

8.2.2. Key Encapsulation

The `sample_rm` procedure of the HRSS type is described as follows:

- * Generate a random polynomial `R_(shared,3)` using the `sample_iid` procedure
- * Generate a random polynomial `M_(shared,3)` using the `sample_iid` procedure
- * Output the sampled polynomials with a set of the values `(R_(shared,3), M_(shared,3))`

8.2.3. Key Decapsulation

In the HRSS type, the ValidR procedure is equivalent to valid_iid procedure, and the ValidM procedure is a tautology function; it always returns true.

The Lift($M_{(0,3)}$) procedure of the HRSS type is a function, input is a polynomial parameter $M_{(0,3)}$, and it is described as follows:

```
* Compute  $V_{(0,3)} = \text{modPhiN}(M_0 * \text{Inv}_{(3,S)}(\text{Phi}_1) \bmod 3) \bmod 3$ 
* Let  $V_0$  be  $V_{(0,3)}$  changed modulus from 3 to  $\mathbb{Q}$ 
* Compute  $V_1 = \text{Phi}_1 * V_0$ 
* Output an injected polynomial with the value of  $V_1$ 
```

These computation steps can be optimized but are not described here.

9. Test Vectors

This section contains test vectors for NTRU KEM. Each subsection contains a sequence of test vectors.

The following parameters are specified for each test vector:

PUBLIC KEY, PRIVATE KEY The public and private key parameters.
 $R_{(\text{shared},3)}$, $M_{(\text{shared},3)}$ The secret values generated internally by an RNG in Key Encapsulation API. The values are serialized with the pack_S3 function. Although these values are not parameters, they are included in the test vectors to ensure that the testing process is deterministic.
CIPHER TEXT The encrypted message generated by Key Encapsulation API. Key Encapsulation API assumes that the RNG generates $R_{(\text{shared},3)}$ and $M_{(\text{shared},3)}$ with values provided in the test vector.
SECRET STRING The secret string shared on both sides of the key share. Both of Key Encapsulation API and Key Decapsulation API return this value.

The octets are hex-encoded, and whitespace is inserted for readability.

9.1. Test Vectors for ntruhs2048677

---TEST 1

PUBLIC KEY:

57EEE113D3506F111CA9F253D1035C2ACCF68212488724FA9EB144F5D3532E6914DFD
E79861D843A26F0A8D43371EE273D53B90879FD8C2F985F53C59B338784B88095284E
B5A49DC6B5018CCA0806247BD69EB62E1C1431947A90ED8549F79183B27B1F4A81CAC
B7B3F42D631484423516A86FBD49965E7863D06D1347317F0F6E9DB8B9E23AA5FED16
125ED59A9D27CCF7C5BB77FD02ACFF8E9812F0045011022627789D679E30B0DC2AFB5
58316A1714A6958FE9178F7BD8F045F97A5C134C9D7F816EF5B987C441CB56A885436
78F1965F2F5D4E3A6B2940DEC16DE78AF74DB0F8545ACA3F656B6701AB6CE28541C98
F7F72C8FAA26B01D3DBC9F139316380F8816C28FC5254CCED591DFD58690D2BE2B1ED
2F37E7439977BB379B9F4ECF5C8DEC407131321FAFD45AB9DDC4EFA8ABD28B3F81F7F
FC8D7AB707B1418F7035521D59ECF0271E96FCC41FAB7C6E9C5EAD20C8A770E4B8DFD
C950D36884EAD3004FC878C5418A3CEF0A61A2678B9691F97D89951BD874907BBEF6D
EEC410690EC961182645277A747E2C0FB8F7A6742104DA572C05C8F9BB17BCA5BC9FB
FC5268B3FC49E620E1A1732B0E914EB49DF377CD3A496D5FA2B9070F818C034F01ADE
E14768F37D4BD26F4A8C1C8822C79A2F5E85689D8B3758CCE34ABB5680C20FA49F997
D5C882AB4F77C10584F7BCFAF72FC7CE0405F4FE106C53AF063739D81D794C7D19C45
8AE2F98FDB3EDF5D5AED7EC7F8826558EF6D7176A92E4B0130EB03F8081B0D1468F41
58A9A534923C825D339ADB60AB6DE9F339BD87279D29E481CCF6DE733E6181E229B2E
E65731B7240206C9AD0E167BBBD44BF706E26FC2AF74FD3C8975DD73343B1718A9F13
428DE4699196E69348A8FC709F820E098365508B4D222C51D4475E3557D1DA96C99FE
AE9AE55E149FC987A987DACE81CEE2097AB48742025E64277030D49F1262AFEB6C74E
C9C4843DF9B6BA124AC99AFFA94DF662A8E23535EDC001545F6FC8D8CD1D87DDC3B50
C4BAE74C004BCC8249CA12E353C0B86AE65D0E676B0A9817404D6047A359A3246819D
185DAA114A878B43B03A3BFC000D1E779B41486CF0066EAD68E297CEA9280CF4BBA50
F9637718F3FBAFA0687AE5501A1634BE8F318A842C235E5D149BC50ED51377360D27A
60B2EB6436635A656A2A7B7B879DB9F5CE817729E8249D1A6BBE70092D989E1A23CCE
2E040190B7794E2798518D29B75AC10CDC9FBE897BA489228853F7AFCEC4A71B35633
A9CFB58429A62C9BEC7DACF66E94EF62010E98128C6361C5A8C51067E1FF45CA02

PRIVATE KEY:

D067D98F0055E2C3DEEF1076BBB755AFD065112C85C46E6C350655D9625073E94E452
8C053E720206CDB780A61AD5120C498A4CD3E60C334D8702F0F79D81418AF959CAB77
22EBDD30DF0A479E1403D337AD923E5DBCED4EE04839020F7751C075A4892AABBB0E0
01ABA95515E1B65C63C503D97E51EAFB9AC930F5A1DBCB7E9388B5EF1E75E89020D1E
5181B4D12060B6A8A8143F275E38D559D5F18A150F49E0CB73A2972DB84F57DA46EF4
D8AD11F2B4F5A9526A77901BA600FC6E9C9E6F07AC2D9AD2440BC2BB171439AED3296
435D3B7F102CE3135D0D51C4DA052E9163C6A1646ED80FCA390960E3C402241F5FC05
3BC5703558AD9E9CCDB5AC4284B6A69D36C042E297C9C48C61A85735D8C020D2F4377
4368CC183538FFE6D7021029A3E5F1EC56F5EE9ECBC09F5FE092F87657D3412B3F66F
05C99CA6F2704B43FE5422D342B4817CF3A51E62FAE2821D7A0CBA835187945112005
8BD863D189EC80750489F8DEB435D39EA10347F7D0D0419D4A9ADD5B24402DAA99B55
C94C5B71C5B35DD7FAC204B984DE339690D6DF89E276E855A635677BBEE380A1C2175
1B6D0F13145FE4769A0103720AFF6F14181A42492303553EFF4690BC397D4D43BFBF3
09A58906F32FD549A6BE55AAD7E1229CAAAD718214C6FEE3690A45532B2C4E82BC4B5
4F2D8CA43020A2F166306860D301D563F01CA3BDE59A3FC79DBC7A6220FF77933E2E5
B59BC938CB86B31D74163B4F37A0BD9C58082F1EDC89FD52DDCB633C0010C01E0993D
F867FE63299C010AF0767899B45B05B9BD8A76487D5828391DE2579B7B653CC0CC4C1
E694F7180F3B1209F27C6E7EBE367E6271667B2E164C94D0DDE36E32552DDC01355B3
6D86572D8208573F0A2C309121328E56A6A50F0B6ACE202C376C937376F642F269279
923373681DE9F9FC7375E75F3433333D58D721FBBEC08CB99DD82977F4B3DF907D640
EFDCC19DDD5C2C6374B6FB2C6734B8508DDBC82E6926AD126F34739A62E5B0F66F3CA
20C42E8DC0EDF4BB97227334E5C3A90D1A5A392D7341B3707F02459D12FFF1208D0C8
8A2B7C6178887E42D82AD7021C0930CF6723332DD20BB4AB8142BBF5D7969F2B96205
8E78FC871A33E530026DE2490405DD44084D1300CF98702EB5382402F81A4BDD3B851
4F0E7638260AB418A4673F7DF3595B96170B41BB87595A41C5BEF56CDDA831781E13C
BEB3CAE756C6CDC73BC2AF82F7EA1D1821B2F5597A7561367E14AA513BA9FC7138238
10B8C7A5D7AAB81E5FFF6249F80342C7E573A6C60C8E82CF39947BC820F0C7710CB32
01C17891EEFCD89E3B1CC5065993B4D48BEC3F298C4F3EB23125A4EECF5D1A29DCDFC
60C96B40F584996D0B91154AFDDFBA2C19DA34D82389F7361FCBBAC69F2A88336539B
020403F3A19B92D5BB65610116CED92151CE2D176C27778B08A7D4AAF6DA474D1B33C
A0C13905483B0AC84C5351FC3B7ABECD57DADC126A6210C45288F569577A52F299192
B1B6F414B2923719E7838532E4DA6C35BAFDE5620CFD2AB751D4652DB3D062477B5C9
62630123D68016D8D7ABE325EF4D20118F217C74666CB1421E7456958F25D2349429B
1243280CDB0D0F22E8FDEC6E91E5145D4A0085C077EAE0EB3B5CD73DAF3D1F05C2970
F3E962B665A604DCB58887A083CC5A911F40EF6B78009B1431C15CCE02B8C0841DAF4
D39750FD73EB8A50042BCA6139F764481FC96CEB468CDAC1576AE

R_(shared,3):

C226347CBFB10C4C946A759407E8E98C8861D9A562A78977777EF1B04AC593955C2FD
A96DE57746802C041CE163D722AB71A07D9341956538C9A12171687E9C7050E4A5061
959D6B4A19643F0F041D1B1767E15CBEA993E0C948883C9C364DBAD893898B37360D5
C10060BD66876399314E51F9331CA25C34F1C14BEBE55D6F28B929A57B841C602

M_(shared,3):

1B1B690A1157DE06E451B909A51B02544DA3540005003A36BA570B920038010102062
4036C746E0A1251000C5121001800D80FA209243F1E8A0236143F512454C603BF085A
5100B4060B00254812A73C186CEAC1ED6C52A61C0B6E185953D9003802000224F0BE6
3120688A25106A805363F858536A3486F66C0080B00036E00A7072E00511E1800

CIPHER TEXT:

A1D9CE5958DACA0F9799C9AE5D4395CB6368371BB9F93F906DAE552F529B1CA5DFFEF9
BFCBCED6C74F3F189F1A165EE30A90B246DC729FA08D14DC82647E2EDDCFB9BB242DB
2EDFBD70F555241028A80A666ADFE7E597E71D4B43C072EDB54390E9D8F5A76EB85FB
1CFE562DCCFE333C3FA8ED2222998A583284E75A65E5FFF9DF51F0549B5C8C0C12D40
89A2121D9DA1DACFEBA4E6919ABBC580AAB4EFB9FA341A073CFFA40E5EBCCB7ED84C8
597147BB888B50EBCB4A0618E81D73A64BBC5465DA64A525098E27647627BFD0F91D5
D636754A4310F5D34E3BBE3502BADA0A589855F3AB64E1F2DBF7BE8F7A257B553F4
A1BC12DABA163420D1782FE4830E013E17397FF012F5F6988BAF89FDBE61EB1150300
309C00DEF1F055B90D1F59AC349528FE94EBFA2DF6DBDFB83A6178F5F22E7CAD8FC44
ED46A9C582235D62AB5D750F82CD731AC5E30ED691DAFD0BF0512571C5A39C1F62720
2F46CB5EE7F6CD8CD4D4FD3A68E6996B9AB77FA686AB596570AEE23ABB0521CD04185
731141AE1FCFBE618924AC762BFD0524A5108D1447AA48ACC956FD06970F8D7BF90C4
24E6F1437903233A91FEC3BE1064F853C47DF5E5622F68A317D84B770A114942DCF77
C6200CE8D7557633DD9605581CC3BFC79170044F7E212FFA64AD8307D73F6D8929A38
54FAA32129962C9FCFAF3EB1F2F3B4B83DD7EB2825920D0BC9C9E6C8E129CC09730
D421E403AC5A9843BE7F40EEC25DE144BAF756904FDF86C867420B9D6F06872036952
4F4CAA53CEA85AF17F50CFCC554DB287B15F134E642FB14B431A0089087CFA47ACD89
85B56346EB859CD3D2C9F9B14E0F9E5C8248D7534D8DC5029FED01AB201B27BF1B903
EA198592DAA27ACA9CE9BDF28B4831B22C9E220EB25D556215C234761F0A96B0856AE
26FD92E4B40F7E13C3C3587C60AF3D5C49430F768949D6E0C2439EDE3409858CA4055
E5CAC325E21CE1BFBB2BBD3BBA136E59C7E1DA2598975189111F0730B69E7E1B5477D
13884ADD70B3CA8A95575620347CF50AFA72EDE635EE70C62B566F5B6D2031F3CDABB
E2DC9500DDEBE760B4D80158835C2B00E6BC514F2A762BD699EA97E7324CFA5A9EEC1
1426396DC2E93C943C209AF1525490EF3F1F05EFE797731C9AFF61858FB92F4D0C9B3
4EA6AA1887501D7D961F1E7D1256F77E84BBBF5381EFD57610EC4ECC3900B3A377BE3
02F0F633F1C6C0E38ED9327C743D2EC4D7A2B06449FAA5F9D5D152908325DC77F7C26
74975B662D8AC7F67C78D58F74C8C018D77BFAF447CF55A46F790599E99CCAF40E

SECRET STRING:

49AC4D5D1634C6AFFA5A08C2B228EC806D7870B1517990728663D2D8BBC184F2

---TEST 2

PUBLIC KEY:

A578AF45974972B37E5ABF7C440247288696ECDB528095830AA9C68262FB5FA8706AB
F5DE434D8604E6418B4F42A55A2C8B121F58361A26D6F9A1F7C3EDACD6E4AEF0881B3
0C8EA0C08D2A0F982DC60435C5B3791BC3FF6ABBE349B34055EFD48667546DFAF45AD
47A43633C1930A4D8FA0C31D93B2D1F415668D713C0CAF24C4F9F289A710B3105040C
906F86433C2F6C65970EFD8AA9C6149204E5A9AA1748F46615A1E7ABD2500B727EBEF
F6475337D0D26398D4B94E722844CA476C7EBAE1631C3652449A9CB49F298264553FC
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M_(shared,3):

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SECRET STRING:

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9.2. Test Vectors for ntruhs4096821

---TEST 1

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SECRET STRING:

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---TEST 2

PUBLIC KEY:

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SECRET STRING:

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9.3. Test Vectors for ntruhs40961229

---TEST 1

PUBLIC KEY:

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---TEST 2

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9.4. Test Vectors for ntruhrss701

---TEST 1

PUBLIC KEY:

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19

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---TEST 2

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14

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9.5. Test Vectors for ntruhrss1373

---TEST 1

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---TEST 2

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PRIVATE KEY:

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SECRET STRING:

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10. Security Considerations

Current best practices should be followed, especially regarding known plaintext attacks, such as Meet-In-The-Middle (MITM), and known ciphertext attacks.

Lattice reductions via Lenstra-Lenstra-Lovasz may be possible against NTRU with weak parameter set selection.

10.1. Parameter set security

In all parameter sets, indistinguishability under adaptive chosen ciphertext attack (IND-CCA2) is a desired property.

Equivalent bit strengths of the described parameter sets are outlined in the table below:

Parameter Set	Security Model	Bit Strength
ntruhrs2048677	IND-CCA2	128
ntruhrs4096821	IND-CCA2	192
ntruhrs40961229	IND-CCA2	256
ntruhrs701	IND-CCA2	128
ntruhrs1373	IND-CCA2	256

Table 5

10.2. Public key reuse

NTRU public/private keys can be safely reused for certain use cases. Reusing an NTRU key may be tempting, because the NTRU key generation process is considerably more costly than the key encapsulation or decapsulation operations. On the other hand, if you do reuse NTRU keys, you lose the Perfect Forward Secrecy property. That is, as long as you don't zeroize the NTRU private key, then an attacker that can break into the system can extract that private key, and then recover any symmetric keys that were negotiated with that private key.

If keys are reused, key revocation mechanisms should be considered.

11. IANA Considerations

This document has no IANA actions.

12. Open Questions

- * We don't specify a flattened format for a private key. In my view, there is no need; systems will generally use ephemeral public/private key pairs, that is, create them on the fly, use them for one or a handful of exchanges and then throw them away. In this use case, there is no need to transfer a private key to another device. Now, it is possible for NTRU to be used with static keys - should we try to address that case?
- * There is a tiny chance of failure during key generation (if F happens to be selected as all 0); this happens with probability $< 2^{-800}$ (that is, it'll never happen in practice, unless the random number generator broke). When this happens, the computation of the inverse of F will fail; what happens in that case would depend on the inverter implementation. Should we ignore it or address it?

13. References

13.1. Normative References

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Appendix A. Acknowledgments

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